



UNITED STATES DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND
ATMOSPHERIC ADMINISTRATION



FY17 NTHMP Grants Overview

Rocky Lopes
NOAA/NWS Tsunami Program

NTHMP Grants Webinar
November 2016



NTHMP Grants program

- We expect, but do not have confirmation, that grants will be made available to NTHMP partners for the FY17 process.
- Grantees should develop pre-applications as if grants will be available on schedule.
- We do not know how much money in grant funds will be available, but anticipate the usual "up to \$6M" ceiling will be available under a Continuing Resolution or in a Congressional appropriation.



NOAA Grant Priorities

- Supporting Impact-based Decision Support Services
- Improving consistency across arbitrary boundaries
- Enhancing national capability



NTHMP Grants program

- NTHMP Grant Guidance
 - Governs allowable activities
 - Describes procedures to follow when preparing grant applications
 - Provides directions on how to prepare content for Project and Budget Narrative
 - Explains priorities and strategic plans to use



FY17 NTHMP Grants - Changes

SUBAWARDS

- Starting with FY15 grants, a new (to NTHMP) object class titled “subawards” is included.

A subaward means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a federal award received by the pass-through entity. It does not include payments to a contractor or payments to an individual that is a beneficiary of a federal program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract.



FY17 NTHMP Grants - Changes

NTHMP Meeting Travel - *significant changes*

- NTHMP Coordinating Committee members travel to NTHMP meetings will remain a requirement to be included in grant requests.
- Invitational Travel will be offered to NTHMP CC members who do not receive grant funds or subawards.
- Invitational Travel cannot be offered to any NTHMP grant recipient.
- NOAA employees who serve on the NTHMP CC or as a Co-Chair will be authorized to charge travel via intra-NOAA charge authorization (aka "direct cite.")



FY17 NTHMP Grants - Changes

NTHMP Meeting Travel - *significant changes*

- Grantees may request travel for additional personnel to travel to the NTHMP Annual Meeting and/or MES or MMS meetings.
- Travel support to attend or present results of grant-funded work at a conference is not allowable.
- Travel to complete grant projects, such as siren installation, outreach events, TsunamiReady support, is still supported as a priority.



FY17 NTHMP Grants - Changes

NTHMP Meeting Travel - Priorities

- 1) NTHMP CC member travel to Annual and Subcommittee meetings.
- 2) Travel required as part of executing a task (outreach, siren installation, and similar.)
- 3) Travel to participate in NTWC-supported Tsunami Training
- 4) Registration and attendance for 1 person from American Samoa, CNMI, Guam, and Hawaii to the PRiMO Conference.
- 5) Attendance by non-NTHMP CC members at NTHMP annual and subcommittee meetings.
- 6) Not allowable (FY17 change): Registration and attendance at a professional or academic conference or meeting.



FY17 NTHMP Grants - Changes

PROJECT NARRATIVE

Task 1 [TITLE]

Brief task description:

List all NTHMP Strategic Plan Outcome and Strategies that this task addresses.

1.

Date of expected completion

Describe what will be achieved (bullet/short form)

Task 1 Total Cost:



FY17 NTHMP Grants - Changes

BUDGET NARRATIVE

- Has been completely changed to an Excel file that does all of the math for you.
- Includes a way to import data from Subawards to Prime budget request.
- Comes with its own set of instructions and areas to add explanatory notes.
- Trial has proven it is much easier to use.



FY17 NTHMP Grants Guidance

- Tasks that do not include personnel costs may be for up to a two-year performance period.
- List activities that can be **independently** carried out from one another as discrete and separate tasks.
- **No fixed number of tasks** may be requested.
- **List tasks in order of priority** in case cuts must be made. Lower numbered tasks would be considered for a cut before higher-numbered tasks. (*Please don't lump everything into one task and listing activities as subtasks ad infinitum*).



FY17 NTHMP Grants Guidance

COLLABORATION

- Collaboration is defined when the same activity is being conducted by two or more NTHMP partners.
- Sharing information with one another is not defined as a collaborative task for purposes of a grant application.
- Tasks that demonstrate a cost-share are more favorably considered.



NTHMP Grants program

FREQUENT ISSUES REQUIRING FIXES

- Include justification of salaries & fringe benefits
- Interpret indirect cost rates appropriately

Section I: Rate

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	07/01/14	06/30/15	22.70%	All	All Programs

***Base:** Total direct costs, less capital expenditures, passthrough funds, non-lidar contracts and GS&S leave costs.



NTHMP Grants program

FREQUENT ISSUES REQUIRING FIXES

- Do not include decimals - whole \$ only.
- After making changes in the Budget Narrative Excel file, be sure to update the cost figures in the Project Narrative for each task.
- Supporting documents must be submitted with pre-application (indirect cost rate agreement, salary and fringe documents, equipment cost estimates, and lease-vs-purchase statements.)



FY17 NTHMP Grants Timeline

- 1/13/17: First-round Project and Budget narratives due
- 2/2/17: Presentation during NTHMP Grantees meeting
- 2/24/17: Second-round Project and Budget narratives due
- March, 2017: Federal grant panel conducts its review
- 4/3/17: Grant panel recommendations to NTHMP Chair
- 4/14/17: NTHMP Chair recommendations sent to NWS AFSSO
- Late April: AFSSO Director confirms available grant amount
- Early May: Grantees are advised what tasks were approved
- 5/26/17: Final NTHMP grant applications submitted via [grants.gov](https://www.grants.gov)



Pre-Application Requirements

Due to Rocky Lopes by January 13, 2017:

- Draft 1, Project Narrative (Word File)
- Draft 1, Budget Narrative explanation (Word File)
- Draft 1, Prime and Subaward(s) Budget Spreadsheets (Excel files)
- ➔ **IMPORTANT:** Do NOT convert these files to PDF
- Current NICRA for Prime and Subaward partners
- Additional explanatory information or documentation to justify costs



Final Pre-Application Requirements

Due to Rocky Lopes by February 24, 2017:

- Final Project Narrative (Word File)
- Final Budget Narrative explanation (Word File)
- Final Prime and Subaward(s) Budget Spreadsheets (Excel files)
- ➔ **IMPORTANT:** Do NOT convert these files to PDF
- Only send NICRA(s) if changed
- Additional explanatory information or documentation to justify costs



Final Application Requirements

Due through Grants.gov by May 26, 2017:

- Final Project Narrative (Word File)
- Final Budget Narrative explanation (Word File)
- Final Prime and Subaward(s) Budget Spreadsheets (Excel files)
- ➔ **IMPORTANT:** Do NOT convert these files to PDF
- All NICRAs
- NEPA Checklist
- SF424A budget form
- All other required certifications and standard forms



Q&A

Pre-application Process

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On-Line, Post-application Process

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