

NTHMP Maritime Tsunami Hazard Preparedness, Response, Mitigation, and Recovery Guidance



March 2011: MES Co-Chair, Kevin Miller, pointing at sunk boats and recovery efforts in Crescent City Harbor (thinking about creating maritime preparedness, response, mitigation and recovery guidance)

Rick Wilson, California Geological Survey

Coordinated with the state partners in California and with other states through the National Tsunami Hazard Mitigation Program

Tsunami Hazards/Issues for Harbors and Ports

There are a number of **TSUNAMI HAZARDS** that could directly affect harbors and boaters:

- **Strong and unpredictable currents**, especially where there are narrow entrances, narrow openings, and other narrow or shallow parts of harbor
- **Eddies/whirlpools** causing boats to lose control
- Sudden **water-level fluctuations** where docks and boats:
 - Hit bottom (grounded) as water level drops
 - Could overtop piles as water level rises
- Dangerous conditions offshore – what is **safe-offshore depth** for vessels?
- **Tsunami bores and amplified waves** resulting in swamping of boats and damage to docks
- **Drag** on deep draught boats causing damaging forces to the docks they are moored to
- **Collision** with other boats, docks, and debris in the water
- **Scour and sedimentation** can affect harbor protection measures and shipping channels, respectively
- **Dangerous tsunami conditions can last tens of hours** after first wave arrival, causing problems for inexperienced and unprepared boaters who take their boats offshore
- Recovery delays because of **environmental hazards**

Guidelines and Best Practices for Tsunami Hazard Analysis, Planning, and Preparedness for Maritime Communities

Contents

Purpose of Maritime Planning and Preparedness Guidelines

Intended Audience

Objective and Scope of the Guidelines are:

Part 1: Guidance for Tsunami Hazard Analysis, Modeling, and Mapping

1.1 Use of Numerical Tsunami Models and Digital Elevation Models/Grids

1.2 Maritime Tsunami Hazard Preparedness Products

Product 1: Identification of Areas of Past Damage and Strong Currents

Product 2: Mapping Current Velocities and Relationship to Damage

Product 3: Identification of Areas of Potentially Large Water Fluctuation

Product 4: Identification of Areas of Potential Bores, Seiches, and Amplified Waves

Product 5: Identification of Timeframe for Damaging Currents

Product 6: Identification of Safe Minimum Offshore Depth

Other Products

1.3 Basic Guidance on Design of Products

Part 2: Guidance for Tsunami Response, Preparedness, and Education

2.1 General Maritime Guidance

Preparedness Strategies

Education Strategies

2.2 Harbor/Port Specific Maritime Guidance

Alert-Level Tsunami Response Guidance:

Scenario-Specific Tsunami Response Playbooks:

Part 3: Guidance for Tsunami Mitigation and Recovery Planning

3.1 Mitigation Planning Strategies

3.2 Recovery Planning Strategies

Resources – Maritime References, Products, and Entities

Blue was completed by MMS

Green started by MMS and
will need completion by MES

Keys to Guidance:

- 1) Consistency in hazard analysis methods used;
- 2) Consistency in content and “look” of products between states and within NOAA;
- 3) Consistency with messaging in preparedness products and outreach; and,
- 4) Consistency in response activities and recommendations

Guidance for Safe Minimum Offshore Depth for Vessel Movement

Work between NOAA, NTHMP States/Territories/Commonwealths, and U.S. Coast Guard

State/Territory	Minimum offshore safe depth		Notes
	Distant Source (ships in harbor)	Local Source (ships at sea)	
California	30 fathoms	100 fathoms	Evaluated, except for San Francisco Bay*
Oregon	30 fathoms	100 fathoms	Evaluated
Washington	30 fathoms	100 fathoms	Evaluated, special conditions inside Puget Sound*
Alaska	30 fathoms & vessels should be at least ½ mile from shore	100 fathoms	Evaluated
Hawaii	50 fathoms	50 fathoms	Evaluated; implemented in Coast Guard plan in some locations
Puerto Rico	50 fathoms	100 fathoms	Evaluated
US Virgin Islands	50 fathoms	50 fathoms	Evaluating*
Gulf Coast		100 fathoms	Evaluating*
East Coast		100 fathoms	Evaluating*
American Samoa	50 fathoms	50 fathoms	Evaluating*
Guam	50 fathoms & vessels should be at least ½ mile from shore	100 fathoms	Coordinated with USCG Guam Sector
Commonwealth of Northern Mariana Islands	50 fathoms & vessels should be at least ½ mile from shore	100 fathoms	Coordinated with USCG Guam Sector

*Please contact the MMS state representative for further information

Determining Appropriate Maritime Planning and Response Guidance

	2-Level Response Guidance (Alert-Level Response)	Multiple-Level Response Guidance (Playbook Response)
Type of maritime community	Small open-coast harbors or harbors within rivers or bays which have not experienced significant tsunami damage in the past	Harbors and ports which have had damage in past events, especially during both Advisory and Warning level events
Basis for response planning	Response for either Advisory level events or Warning level events	Response specific to multiple scenarios between the Advisory and Warning level range
Scenario modeling required	Minimal modeling required, velocity and flow depth for one or two maximum considered distant source scenario	More comprehensive modeling is required for a variety of distant tsunami sources with the near-shore forecast peak wave amplitude range of 0.3m to 1.5m
Relative cost*	Minor cost for modeling single maximum scenario	Moderate cost for modeling multiple scenarios
Relative accuracy	Moderate accuracy for capturing tsunami conditions	Higher accuracy by selecting response plan with more specific information about severity and location of damaging currents
Decision making and response	Simplified approach with only two choices predetermined by the tsunami alert level	Advanced approach with a number of response choices based on forecast peak wave amplitude from the Warning Center
Real-time decision making assistance from state/NWS	Assistance to select the response level is not required	Assistance to select the response level is recommended; MINIMUM scenario plan may be recommended by state or NWS IDSS

*Cost of modeling will vary. States/Territories should calculate these costs before meeting with harbor/port officials.



Maritime Guidance for Distant Source Tsunami Events

Ports of Newport and Toledo Lincoln County, Oregon

Oregon Maritime Tsunami Response Guidance (MTRG) No. 2015-OR-01

Maritime response guidance in this document is based on anticipated effects of a **maximum-considered distant tsunami event**, scenario **AKmax** of the Oregon Department of Geology and Mineral Industries (see www.oregontsunami.org for more information on this scenario). Smaller distant source tsunamis will occur more commonly and are likely to cause significantly less damage than this maximum considered scenario. Check with local authorities for more specific guidance that may be appropriate for smaller distant tsunami events.

NOTABLE HISTORICAL TSUNAMIS IN NEWPORT AREA

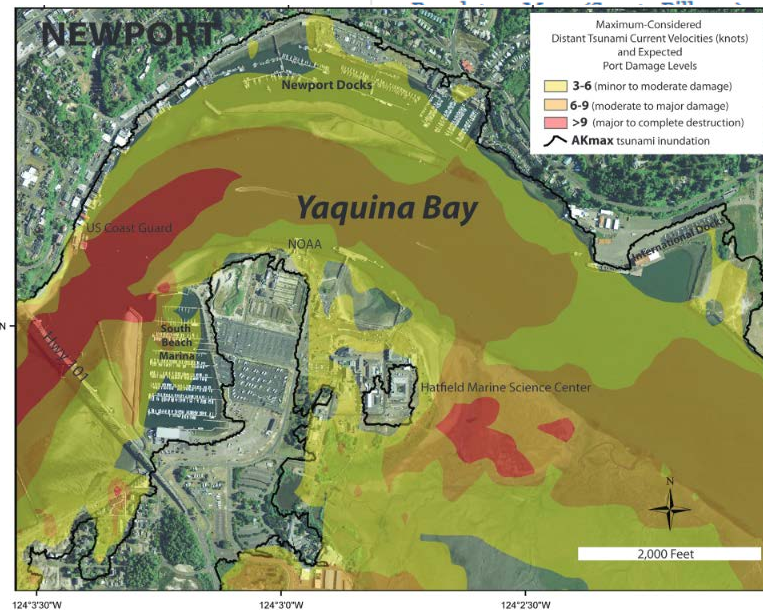
The table provides basic information about historical tsunami events; very minor tsunamis are not shown. The largest, most damaging distant-source tsunamis in Newport area have come from large earthquakes in the Alaska-Aleutian Islands region. The peak amplitude and damage information may help provide port authorities background for comparing future Advisory and Warning level tsunamis in the area. For example, the 2011 Japan tsunami may provide a threshold for no damage occurring below a forecast amplitude (wave height) of 0.43 m (1.4 ft).

Location	Event	Peak Amplitude Observed		NTWC Tsunami Alert Level Assigned	Tides During First 5 Hours	Damage Summary
		(m)	(ft)			
Newport area	1964 M9.2 Alaska	3.5	11.5	Warning	High*	light damage to ships and docks**
South Beach	2009 M8.0 Samoa	0.08	0.3	Advisory***	High	no damage reported
South Beach	2010 M8.8 Chile	0.16	0.5	Advisory***	Low	no damage reported
South Beach	2006 M8.3 Kuril	0.17	0.6	—	Low	no damage reported
South Beach	2011 M9.0 Japan	0.43	1.4	Warning***	Low	no damage reported

* Alaska 1964 arrival on PNW coast was at mean high water flood tide.

** 1964 observation by ship captain Terry Thompson communicated February 19, 2015 to George Priest.

*** Alert assigned by forecast OUTSIDE of bay.



Maritime Tsunami Response Playbooks

Maps are FEMA RiskMAP Products

Real-time recommendation

Communities/Harbors	Recommended MINIMUM Tsunami Response Playbook Plan, based on tsunami forecast amplitude (wave height)
Port of Oakland	Response Plan B
Alameda Marinas	Response Plan A
East San Francisco	Response Plan B
North San Francisco	Response Plan B
Pillar Point Harbor	Response Plan B
Santa Cruz Harbor	Response Plan B
Moss Landing Marinas	Response Plan B
Monterey Harbor	Response Plan A
Morro Bay Marinas	Response Plan B
Santa Barbara Harbor	Response Plan A
Ventura Harbor	Response Plan A



Maritime Tsunami Response Playbooks: Background Information and Guidance for Response and Hazard Mitigation Use

By Rick Wilson^{1*}, Patrick Lynett³, Kevin Miller³, Amanda Admire⁴, Aykut Ayca²,
Edward Curtis⁵, Lori Dengler⁴, Michael Hornick⁵, Troy Nicolini⁶, and
Drew Peterson⁶

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California Geological Survey Special Report 241

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the National Tsunami Hazard Mitigation Program, and
the National Oceanic and Atmospheric Administration

1) California Geological Survey,
California Department of Conservation
*Professional License and Certification
PG 5676, CEG 1881

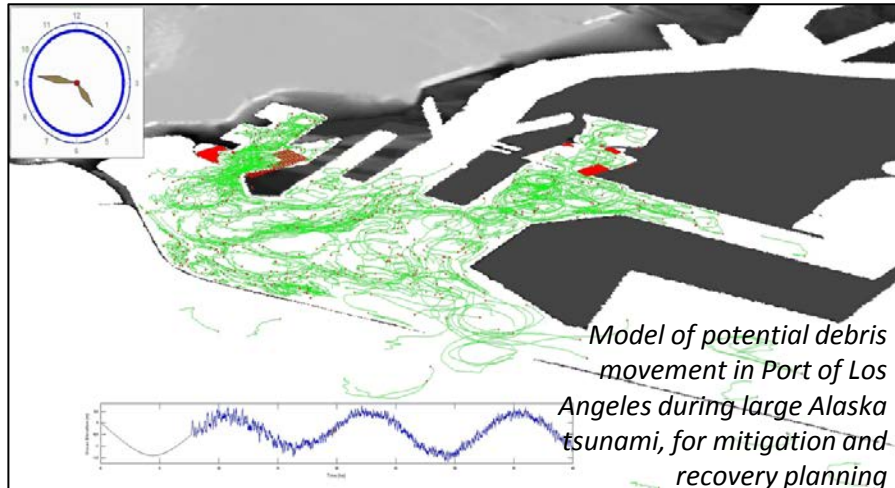
- University of Southern California
- California Governor's Office of Emergency Services
- Humboldt State University
- Federal Emergency Management Agency
- NOAA, National Weather Service



APPENDIX Reference Page for Determining Real-Time Maritime Tsunami Response Activities			
Basic information about the earthquake and tsunami from National Center in Alaska, regional National Weather Service office, and for emergency manager. NOTE: Tsunami Alert Level may change in first couple earthquake; WATCH may be upgraded to ADVISORY or WARNING.			
Location	_____		
Amplitude	_____		
Level (circle one)	WATCH	ADVISORY	WARNING
Estimated tsunami amplitude/wave height	_____		
Tsunami arrival time	_____		
Tsunami evacuation and response will depend on the amount of time before arrival. Four (4) hours is considered the threshold time needed for a quick reference, we offer the following guidance:			
Four hours before			
Reference Pages for Details in Maritime Playbook	Scenario Playbook Plan Letter	Peak Amplitude/wave height (in meters above existing conditions at harbor entrance)	
	(No action)	0.2	
Page 8-9	A	0.5	
Page 10-11	B	0.8	
Page 12-13	C	1.3	
Page 14-15	D	1.5	
Page 16-17	E	6	



Tsunami Hazard Products for Mitigation and Recovery Planning - Potential Use in National Guidance



Maritime Mitigation

Harbor Improvement Reports and other products that integrate risk reduction methods for coastal hazards (tsunami, SLR, storm, etc.) into Local Hazard Mitigation Plans

Maritime Recovery

Guidance for harbors, communities, and state to produce recovery plans for large local- and distant-source events.



General Maritime Tsunami Mitigation Measures

Mitigation Measures for Reducing Impacts in Maritime Communities

Real-time response ("soft") mitigation measures

Reposition ships within harbor

Move boats and ships out of harbors

Remove small boats/assets from water

Shut down infrastructure before tsunami arrives

Evacuate public/vehicles from water-front areas

Restrict boats from moving during tsunami

Prevent boats from entering harbor during event

Secure boat/ship moorings

Personal flotation devices/vests for harbor staff

Remove hazardous materials away from water

Remove buoyant assets away from water

Stage emergency equipment outside affected area

Activate Mutual Aid System as necessary

Activate of Incident Command at evacuation sites

Alert key first responders at local level

Restrict traffic entering harbor; aid traffic evacuating

Identify/Assign rescue, survey, and salvage personnel

Identify boat owners/live-aboards; establish phone tree, or other notification process

Permanent ("hard") mitigation measures

Increase size and stability of dock piles

Fortify and armor breakwaters

Replace flotation portions of docks and dock cleats

Increase flexibility of interconnected docks

Improve movement along dock/pile connections

Increase height of piles to prevent overtopping

Deepen/Dredge channels near high hazard zones

Move docks/assets away from high hazard zones

Widen size of harbor entrance to prevent jetting

Reduce exposure of petroleum/chemical facilities

Strengthen boat/ship moorings

Construct flood gates

Prevent uplift of wharfs by stabilizing platform

Install debris deflection booms to protect docks

Ensure harbor structures are tsunami resistant

Construct breakwaters further away from harbor

Install Tsunami Warning Signs

Identify equipment/assets (patrol/tug/fire boats, cranes, etc.) to assist response activities

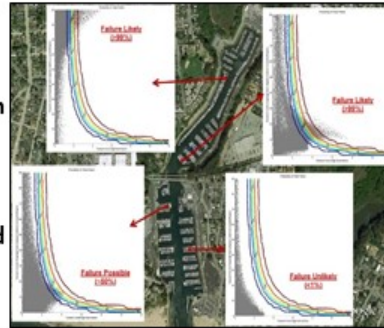
Diagram of tsunami vulnerability analysis for harbors, integrated into Harbor Improvement Reports for mitigation planning

(California CTP with FEMA Region IX; examples from Santa Cruz Harbor)

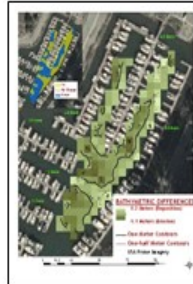
Numerical Current Velocity Modeling of design event (50-year equivalent) as input. Flood elevation and inundation modeling will also be used. Severe storms, extreme tides, and sea-level rise will also be evaluated in similarly.



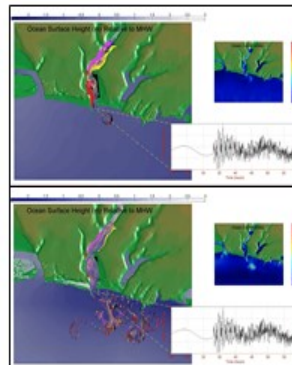
Damage Potential Analysis – Current velocity and direction is compared to damage potential curves for various harbor structures and infrastructures.



Sediment Movement Analysis – Current velocity data is used to determine where sediment erosion and accumulation will occur.



Debris Movement Analysis – Current velocity data is used to determine where debris (damaged docks, loose vessels, etc.) will move during and accumulate after event.



Vulnerability Analysis – Combines damage potential analysis with sediment and debris analyses to determine the vulnerability of harbor structures and infrastructure. Detailed analysis of sub-dock units will be included.



Mitigation Recommendations – The vulnerability of potential harbor pollutions sources will be evaluated and harbor mitigation/improvements recommended. These may included dock or infrastructure replacement or repositioning, increased dredging, and increased protection measures.



Tsunami Recovery Issues and Guidance - Maritime and Community (California CTP with FEMA Region IX)

*Damage to Port of Sendai, Japan, following
March 11, 2011 tsunami*



Direct Impacts (Damage):

- Vessels, docks, and harbor infrastructure damage
- Permanent land change in large local source EQ
- Debris in water and on land
- Sedimentation and scour
- Contaminants in water and sediment
- Environmentally protected areas/species

Indirect Impacts (Time):

- Residential reconstruction and/or relocation
 - Commercial fishing and shipping disruption
 - Business disruption
 - Regulatory redundancy and delays
 - Limited funding for recovery
 - Limited resources for recovery
 - Loss of business and workforce over time
-
- **Continue work with recovery/land-use planning specialist and colleagues in NTHMP and Japan**
 - **Develop “Guidance for Tsunami Recovery” for harbors/communities and states**
 - **Assist communities and harbors in developing local recovery plans**



*March 2014: Rebuild in “tsunami resistant” Crescent City
Harbor*

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Product 6: Identification of Safe Minimum Offshore Depth

Other Products

Recommend MES review offshore guidance document

1.3 Basic Guidance on Design of Products

Recommend MES streamline/ improve "General Maritime Guidance"

Part 2: Guidance for Tsunami Preparedness

2.1 General Maritime Public Guidance

Outreach & Education Strategies/Examples (ADDED)

2.2 Harbor/Port Specific Maritime Response Planning Guidance

Alert-Level Tsunami Response Guidance:

Scenario-Specific Tsunami Response Playbooks:

Recommend MES develop "Preparedness and Education..." section

Part 3: Guidance for Tsunami Mitigation and Recovery Planning

3.1 Mitigation Planning Strategies

3.2 Recovery Planning Strategies

Recommend MES work with FEMA to develop guidance for LHMP and funding

Resources – Maritime References, Products, and Entities

Recommend MES wait for mitigation/ recovery work in CA to be completed