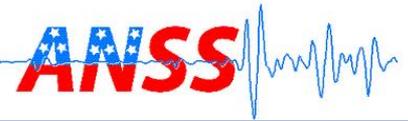


ShakeAlert



Progress Toward Public Alerts & Integration with Tsunami Warning

Doug Given

USGS

Earthquake Early Warning Coordinator

NTHMP Meeting

Feb. 3, 2016

Lead Organizations



USGS



California OES



California Geological Survey



Caltech



UC Berkeley



U. of Washington



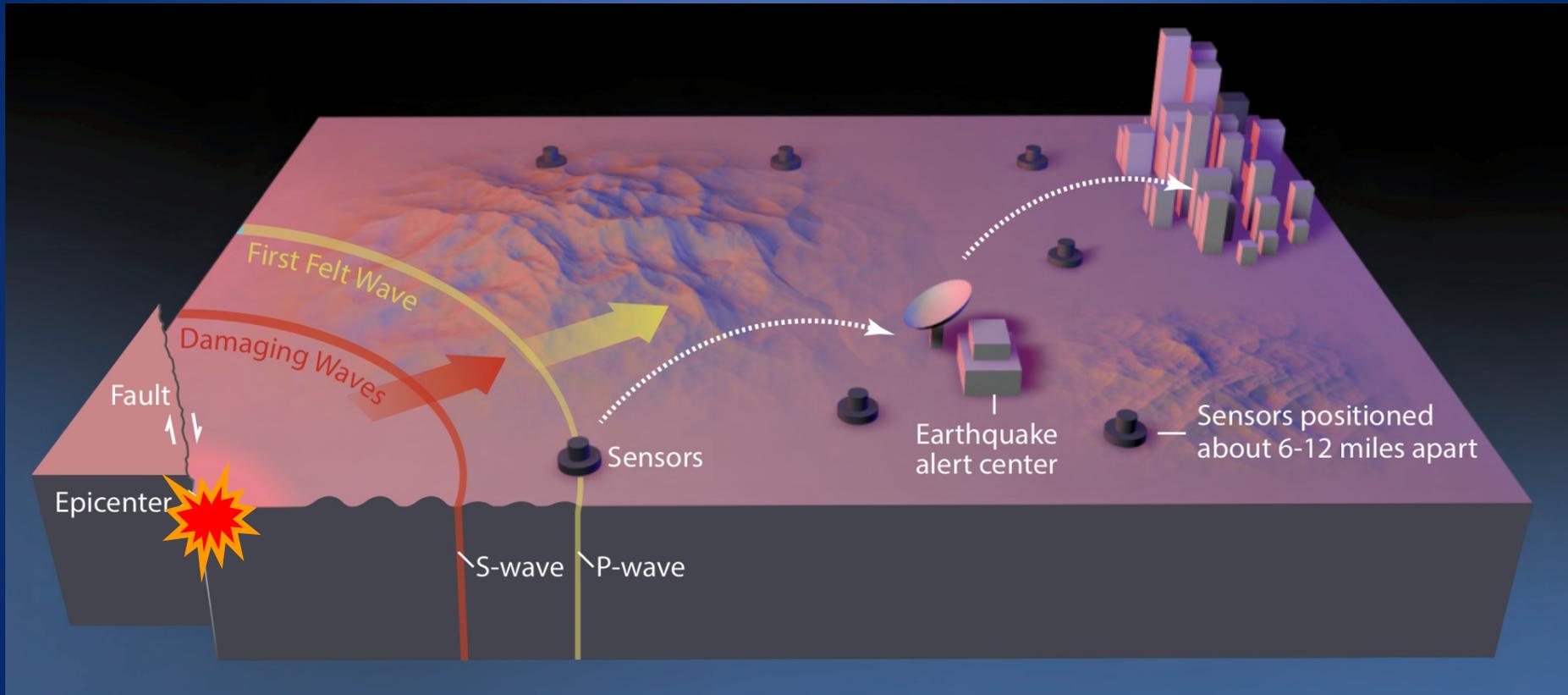
University of Oregon



Moore Foundation

EEW Concept

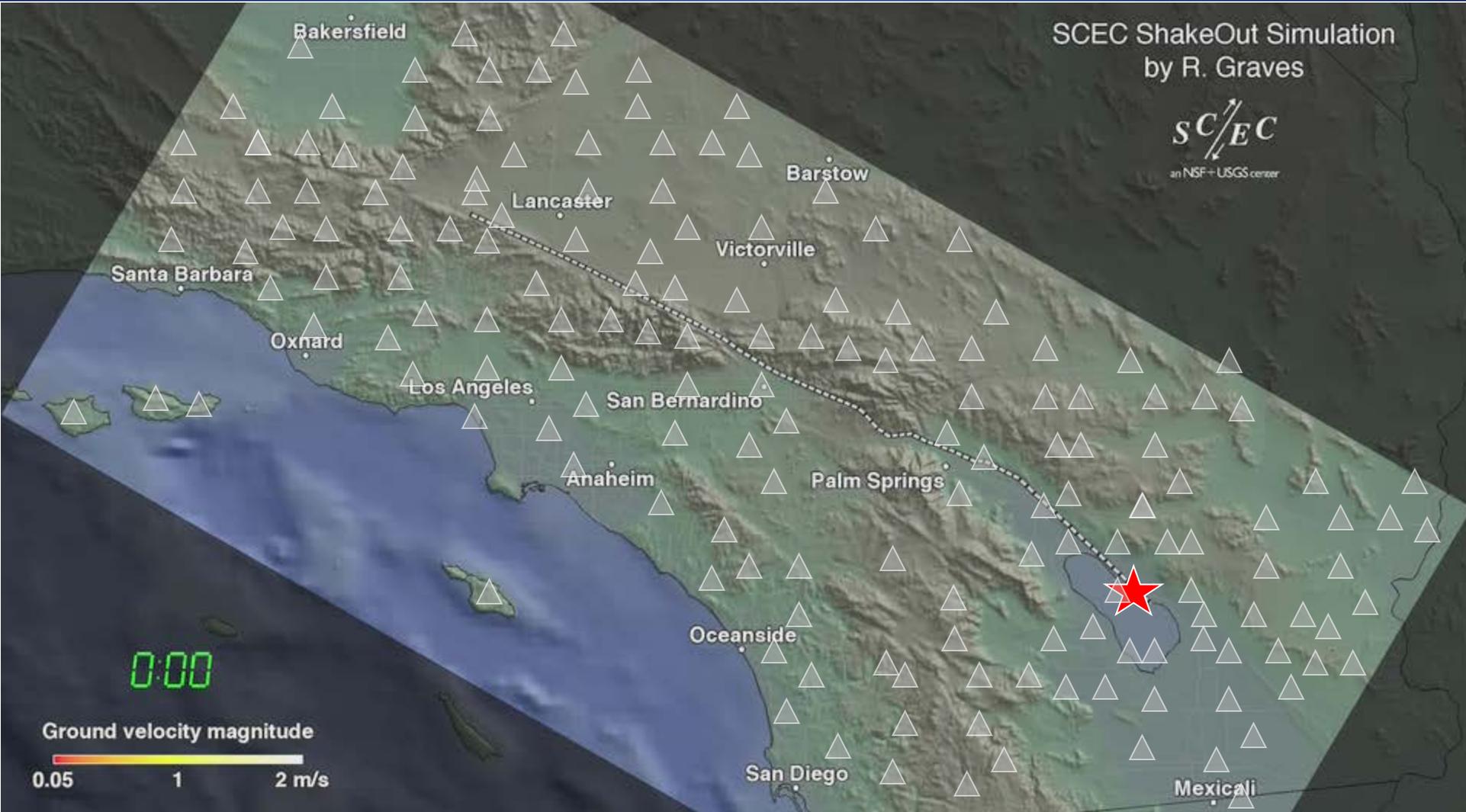
Network Based Alerts



P-wave ~ 3.5 mi/sec (felt waves)
S-wave ~ 2.0 mi/sec (damaging waves)
Alert ~ 186,000 mi/sec

*Sensors closer to the epicenter =
more warning time*

Earthquake Begins



M7.8 SoSAFZ Scenario

Stations Sense Shaking

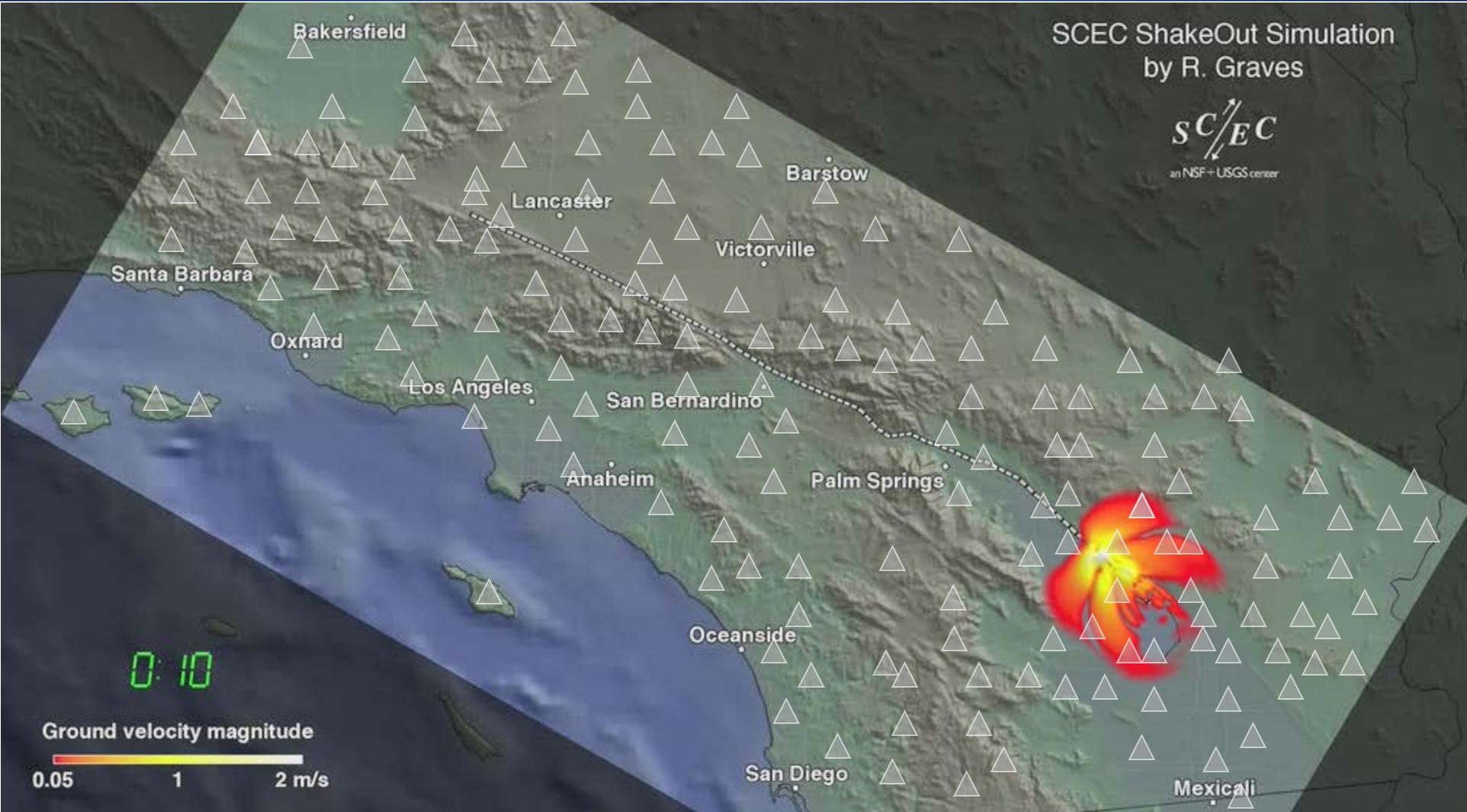


ShakeAlert Detects Event – Issues Alert

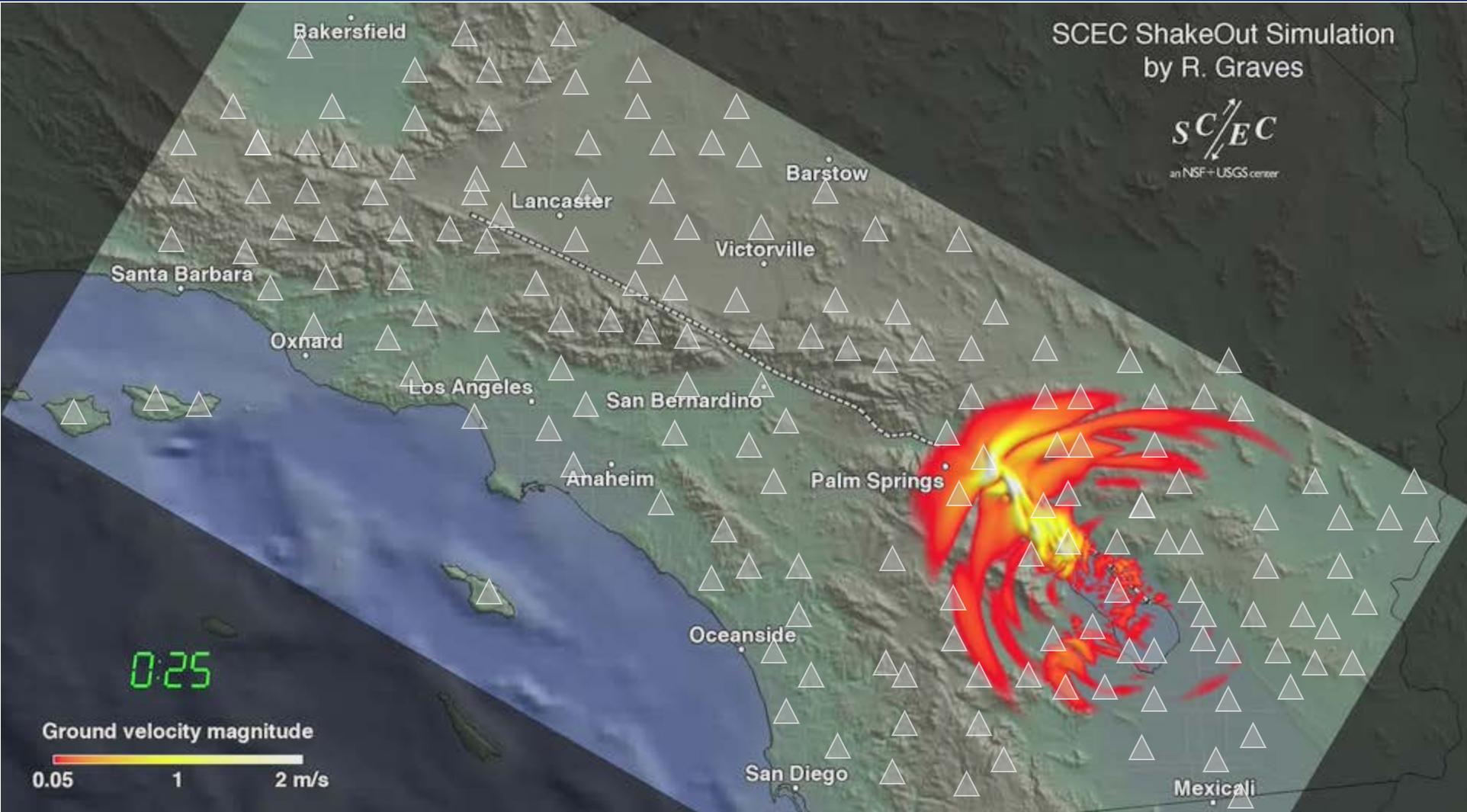


Size of “blind zone” depends on stations spacing and system speed.

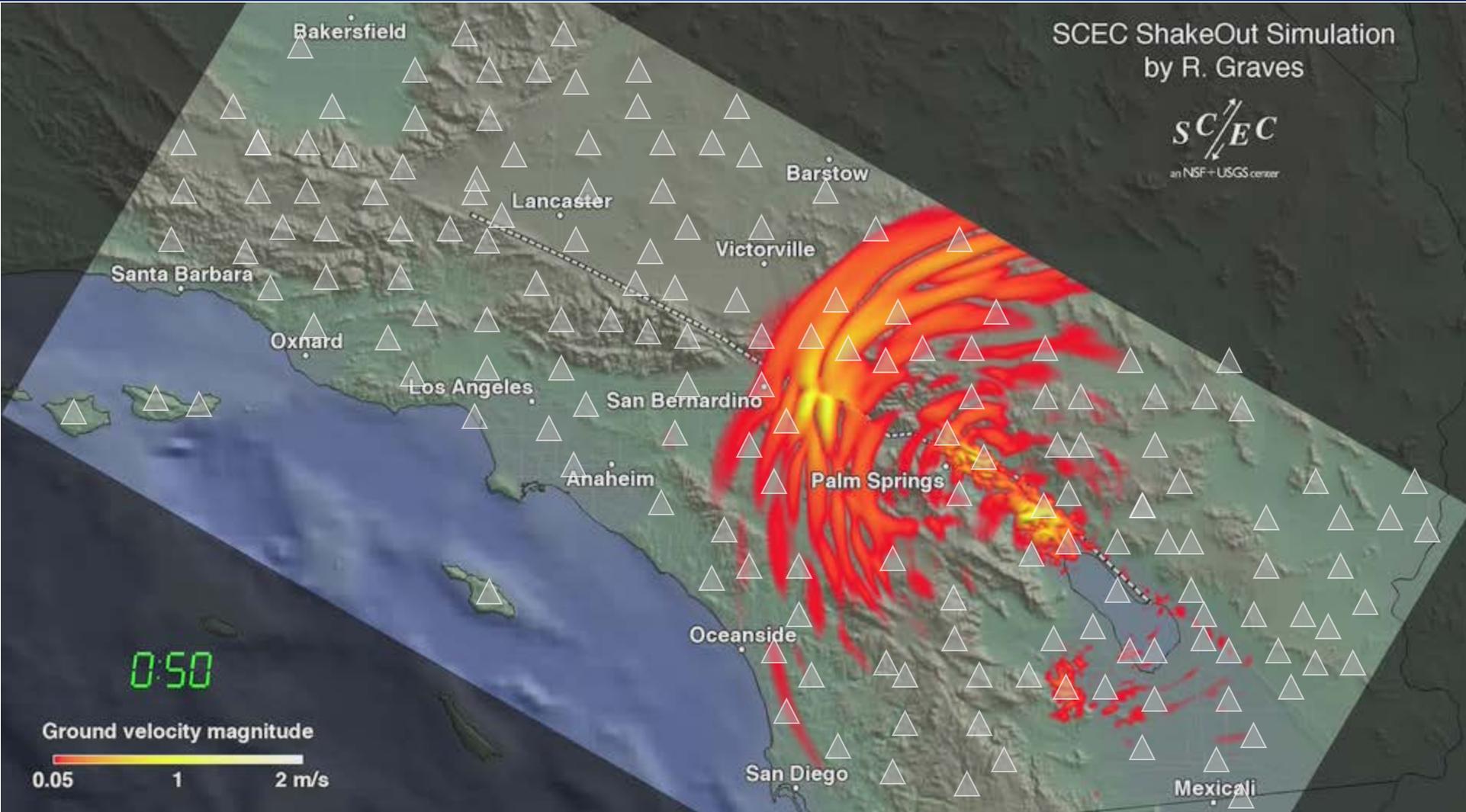
Rupture Moves Up Fault



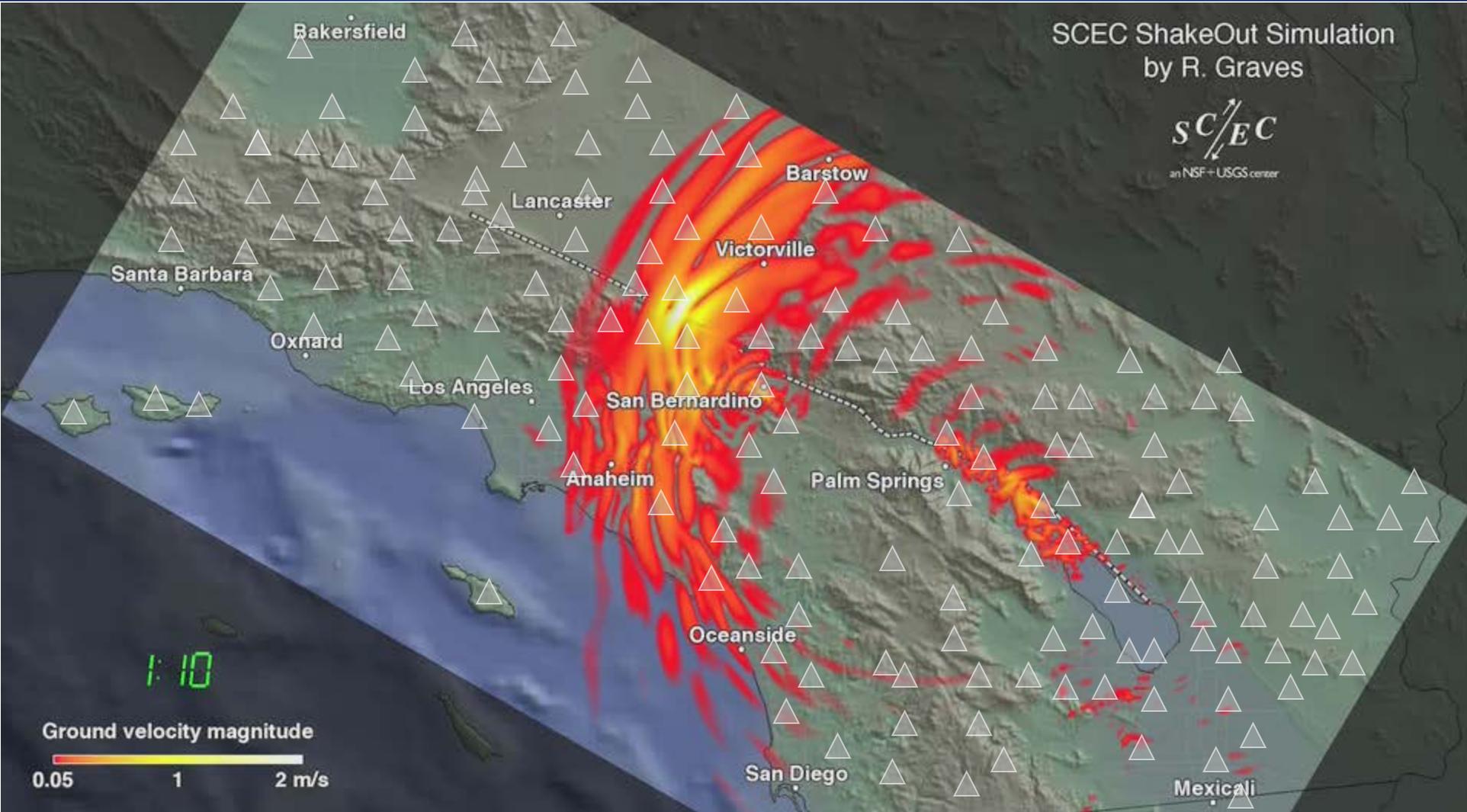
Strong Shaking Arrives – Palm Springs



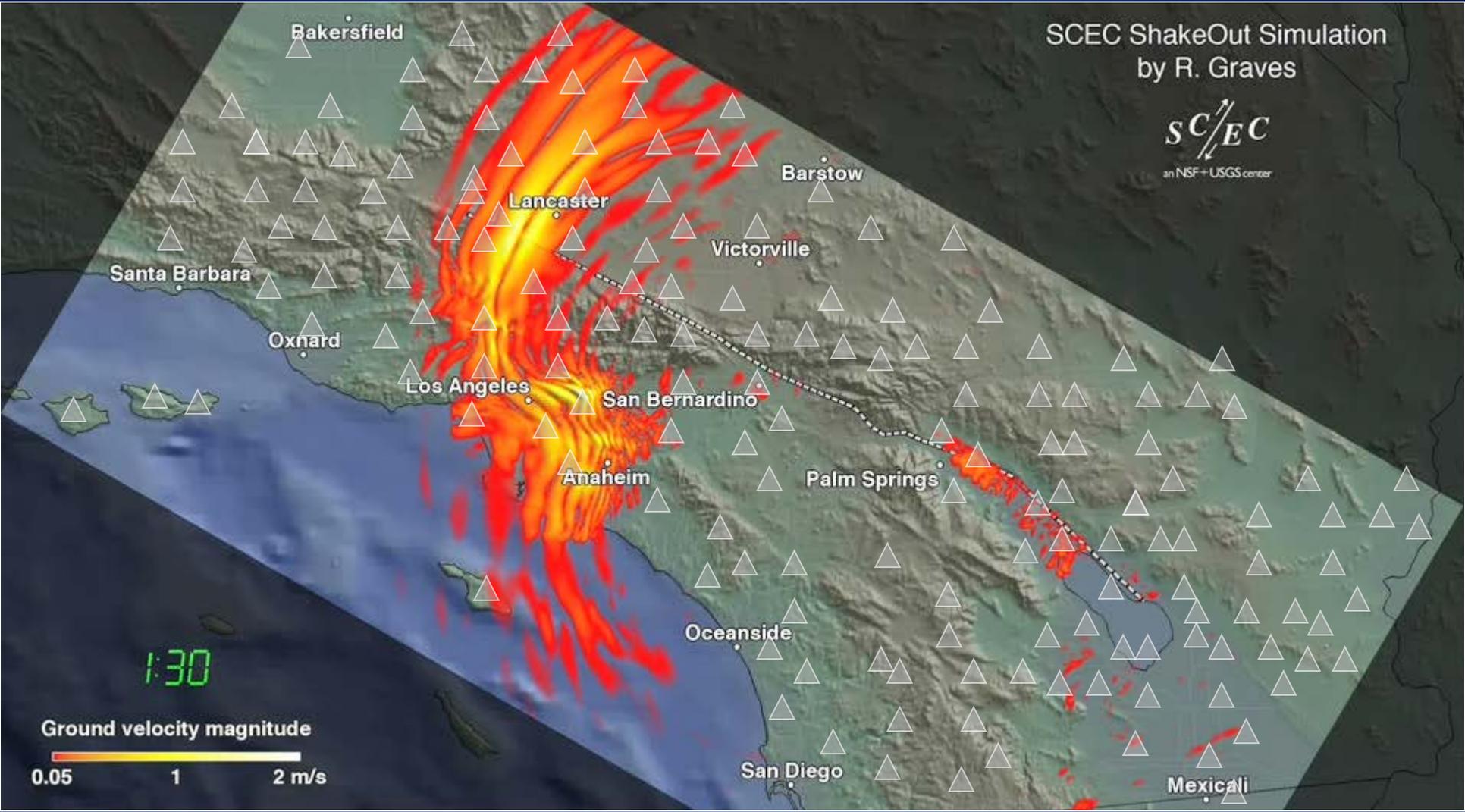
Strong Shaking Arrives – San Bernardino



Strong Shaking Arrives – Orange Co.



Strong Shaking Arrives – Los Angeles



Early Warning Actions

Seconds count...

1. Personal safety

– moving to safety



2. Automated control

– slowing/stopping/isolating sensitive systems



3. Situation awareness

– initiating response before shaking



Brief History of EEW

- 1868 Hayward, M6.8 (30 killed)
 - Dr. J.D. Cooper suggests EEW system
- 1964 Niigata M7.6 (36 killed)
 - Japan Railroad builds *Shinkansen*
 - Includes EEW for the system
- 1985 Mexico City M8.0 (~10,000 killed)
 - 1991 Mexico's EEW system goes live
- 1989 Loma Prieta M6.9 (57 killed)
 - USGS rapid-prototype EEW system
- 1995 Kobe M6.9 (6,400 killed)
 - 2007 JMA system goes live (~\$500M)
- 2006 ShakeAlert development begins
 - 2012 Demonstration system live in CA
 - 2015 Demonstration system live in PNW
 - 2016 Production Prototype live in CA



ShakeAlert

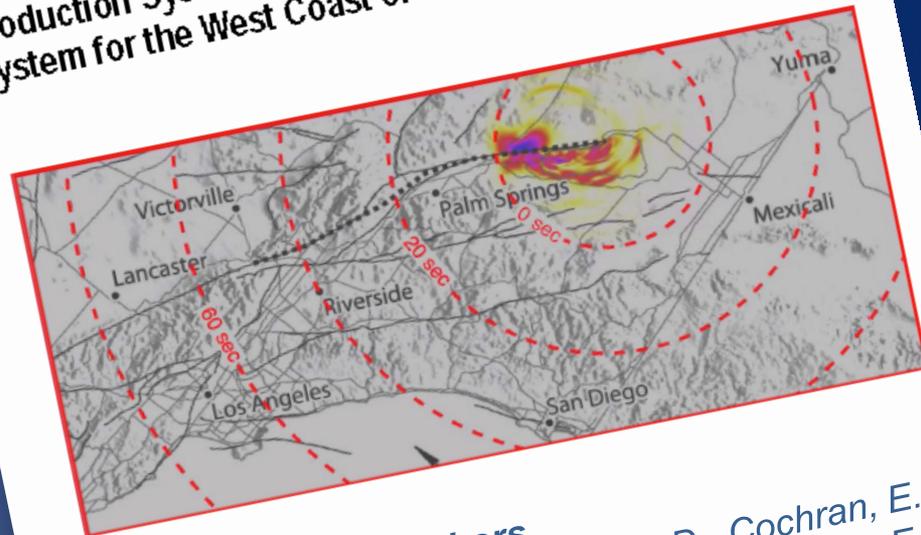
Implementation Plan

USGS & ANSS partners will complete & operate a West Coast EEW system to...

- Issue public warnings for large earthquakes and...
- ...send warning parameters to government and private sector users...
- ...as soon as ShakeAlert meets quality and reliability standards on a region by region basis.



Technical Implementation Plan for the ShakeAlert Production System—An Earthquake Early Warning System for the West Coast of the United States



Open-File Report 2014-1097

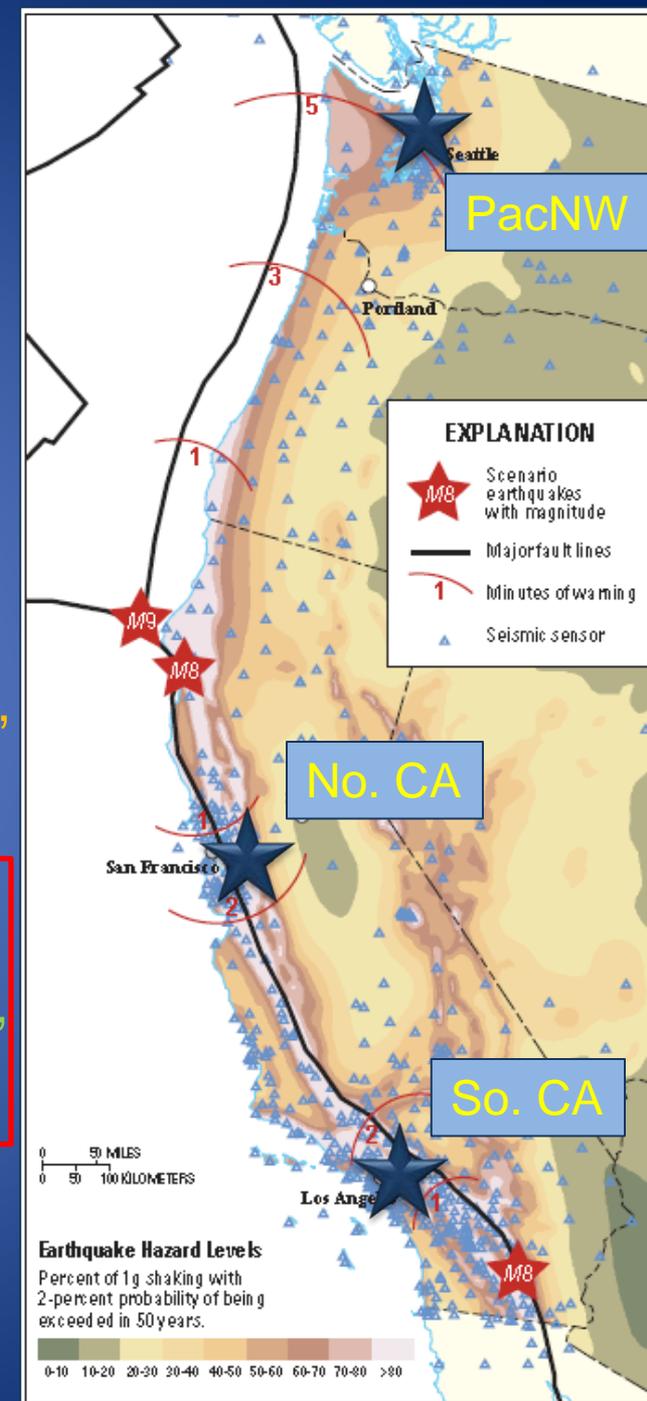
U.S. Department of the Interior
U.S. Geological Survey

- Authors**
- **USGS** - Given, D., Cochran, E.
 - **CIT** - Heaton, T., Hauksson, E.
 - **UCB** - Allen, R., Hellweg, P.
 - **UW** - Vidale, J., Bodin, P.

The Path to ShakeAlert

Development Phases

- I. 2006-2009 – R & D phase
- II. 2009-2012 – “show me” phase
CA: Demo System Live 1/2012
- III. 2012-2015 – Work on Production Prototype,
PacNW: live 2/15, continued development
- IV. 2015-2018 – continued improvement,
testing, Production Prototype live 2/16 (CA),
pilot applications *(current phase)*
- V. ? Full Public Operation
(rate of progress depends on funding)



Organizations Receiving ShakeAlerts (Beta Users)

- Alaska Airlines^P
- Amgen^S (medical products)
- Arx Pax^N (magnetic base isolation)
- AtHoc^N (mass communications)
- Bank of America^S
- Bay Area Rapid Transit (BART)^N
- Bonneville Power Administration^P
- Boyd Gaming^S, Las Vegas, NV
- British Columbia Provincial Emergency Management^P
- British Petroleum (Olympic Pipeline)^P
- Cal OES, Warning Center^S
- Caltech Safety/Security/Facilities^S
- Caltrans^S (8 traffic mgmt. centers)
- City of Hesperia^S
- City of Long Beach^S EOC, FD, PD, Depts. of wastewater, transportation, gas, oil, water
- City of Ontario EOC^S
- Disneyland^S
- FEMA Region X^P
- Google.org (Crisis Response)^N
- Early Warning Labs^S
- Intel Corporation^P
- Jet Propulsion Lab, EOC, Deep Space Net^S
- Kinemetrics (seismic sensor systems)^S
- Long Beach Airport^S
- Los Angeles City^S, EMD, Police, Fire
- Los Angeles County OEM^S, Sheriff, Fire
- Los Angeles Dept. of Water and Power^S
- Los Angeles Metro^S
- Los Angeles Unified School District^S
- Metrolink^S (dispatch)
- Metropolitan Water District^S
- Microsoft^P
- Natural Resources Canada^P
- NOAA/Pacific Marine Environmental Lab^P
- North County Transit District^S (San Diego)
- Northwest Healthcare Response Network^P
- Ocean Networks Canada^P
- Orange Co. OEM^S
- Orange Co. Sheriff^S
- Oregon Department of Geology and Mineral Industries (DOGAMI)^P
- Oregon Department of Transportation^P
- Oregon Emergency Management^P
- Paccar (truck manufacturer)^P
- Port of Long Beach^S
- Port of Seattle (SeaTac Airport and Seattle Marine Port)^P
- Providence Health & Services (Washington & Oregon Hospitals)^P
- Puget Sound Energy^P
- Regroup^N (mass communications)
- RESIG^N (insurance)
- Riverside County OEM/Fire^S
- San Bernardino OEC/Fire^S
- San Diego County EOC^S
- San Francisco DEM^N
- Santa Barbara County OEM^S
- Seattle City Light^P
- Seattle Emergency Management^P
- Seattle Public Utilities^P
- Sound Transit^P
- Southern California Edison^S
- The Boeing Company^P
- UC Berkeley OEP^N
- Univ. of So California, EOC, Fire, facilities^S
- Universal Studios^S
- University of Oregon^P
- University of So California Medical Center^S
- University of Washington, Emergency Management^P
- US Digital Designs, Inc.^S
- Washington Department of Natural Resources^P
- Washington State Dept. of Transportation^P

ShakeAlert

Performance *Speed and Accuracy*

La Habra quake:

M 5.1, March 28, 2014. 9:09 pm PDT

ShakeAlert Timeline

09:09:42.3	Origin time
09:09:43.3 (+1.0s)	1 st P-wave
09:09:46.3 (+4.0s)	1 st Alert



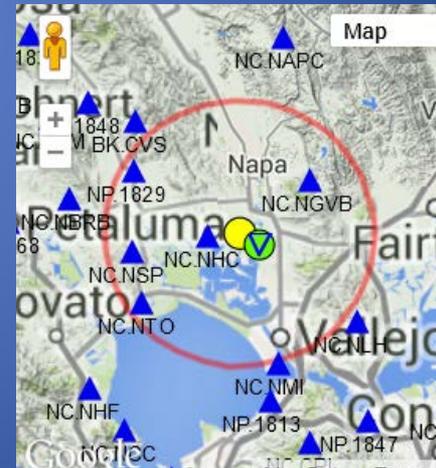
- Upgraded stations would be faster
- 4 stations required for alert
- Detection speed depends on # stations required to alert

South Napa quake:

M 6.0, Aug. 24th, 2014. 3:20am PDT

ShakeAlert Timeline

10:20:44.4	Origin time
10:20:49.5 (+5.1s)	1 st Alert



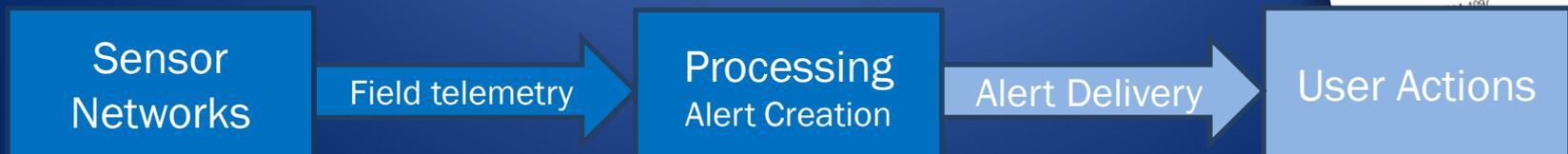
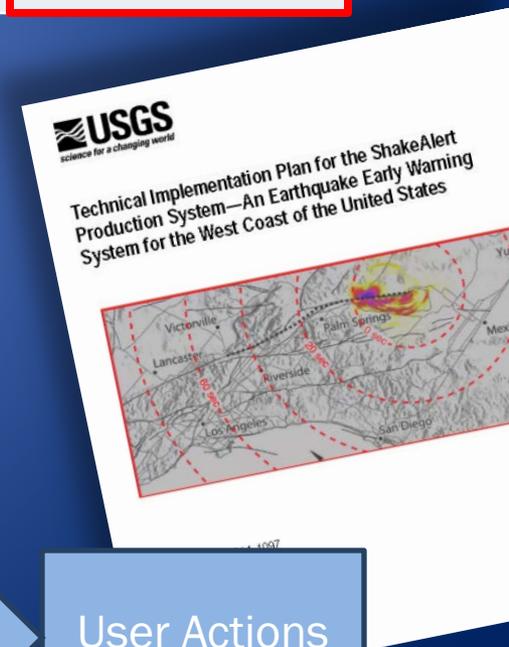
- Similar performance for:
 - M4.4 Encino Event of March 17, 2014
 - M4.2 Westwood Event of June 2, 2014

Full West Coast Implementation

(estimate from implementation plan)

<i>In addition to current network operational costs</i>	California	Pacific Northwest	West Coast Total
Construction	\$23.1M	+ \$15.2M	= \$38.3M
Annual O&M	\$11.4M	+ \$4.7M	= \$16.1M

- Add and upgrade sensors, both seismic & GPS
- Upgrade field telemetry
- Improve com & computing infrastructure
- Operate & maintain the system (staff, equipment)
- Continue R & D, improve the system
- Encourage user applications
- Public education and training



Investments in ShakeAlert

(Through FY16)

USGS Earthquake Program (2002-2014)

External coops R & D for EEW	
Phase I & II (2002-2012)	\$2,093,851
Phase III (2012-2015)	\$1,575,000
ARRA California (2009-2011)	\$4,426,110
- Network equipment upgrades	
MultiHazards Project (2008-2014)	\$2,342,150
TOTAL	<u>\$10,437,111</u>

Moore Foundation R&D (2012-2015)

Caltech	\$1,996,888
UC Berkeley	\$2,040,889
Univ. of Washington	\$1,848,351
USGS	\$ 594,406
TOTAL	<u>\$6,480,534</u>

New commitment FY16 **\$3,600,000**

Federal Funding Trajectory (in USGS budget)

FY14

\$1.5M = add-on to base

FY15

\$6.5M = \$1.5M base + new \$5 million

FY16

\$8.2 M = \$6.5M base + \$0.7M redirect + new \$1M

City of Los Angeles – UASI funding

To Caltech FY 14 (SCSN) **\$5,600,000**

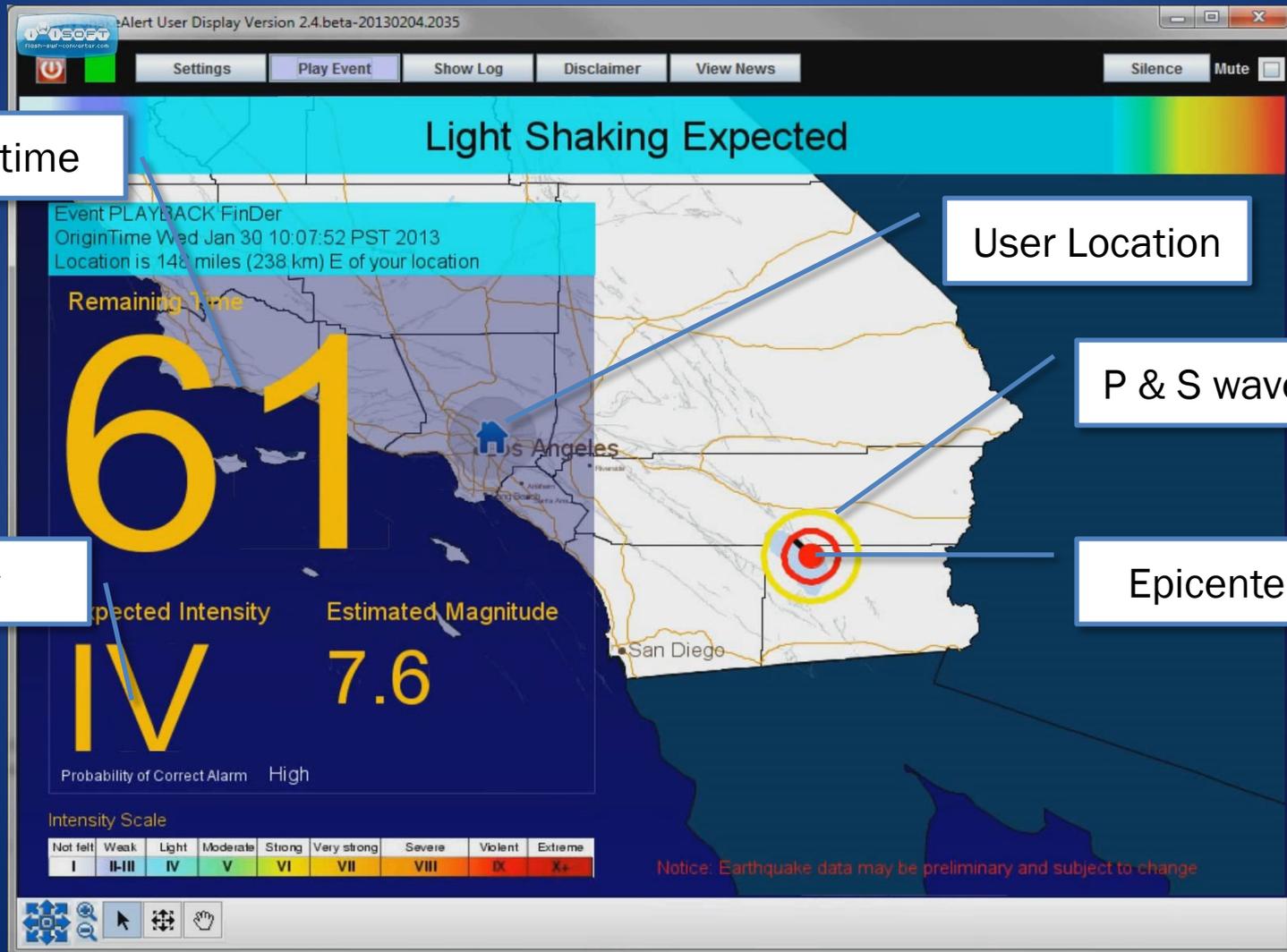
- 125 new & upgraded SCSN stations
- 41 RT-GPS stations
- System infrastructure upgrades



Total = \$ 42.3 million

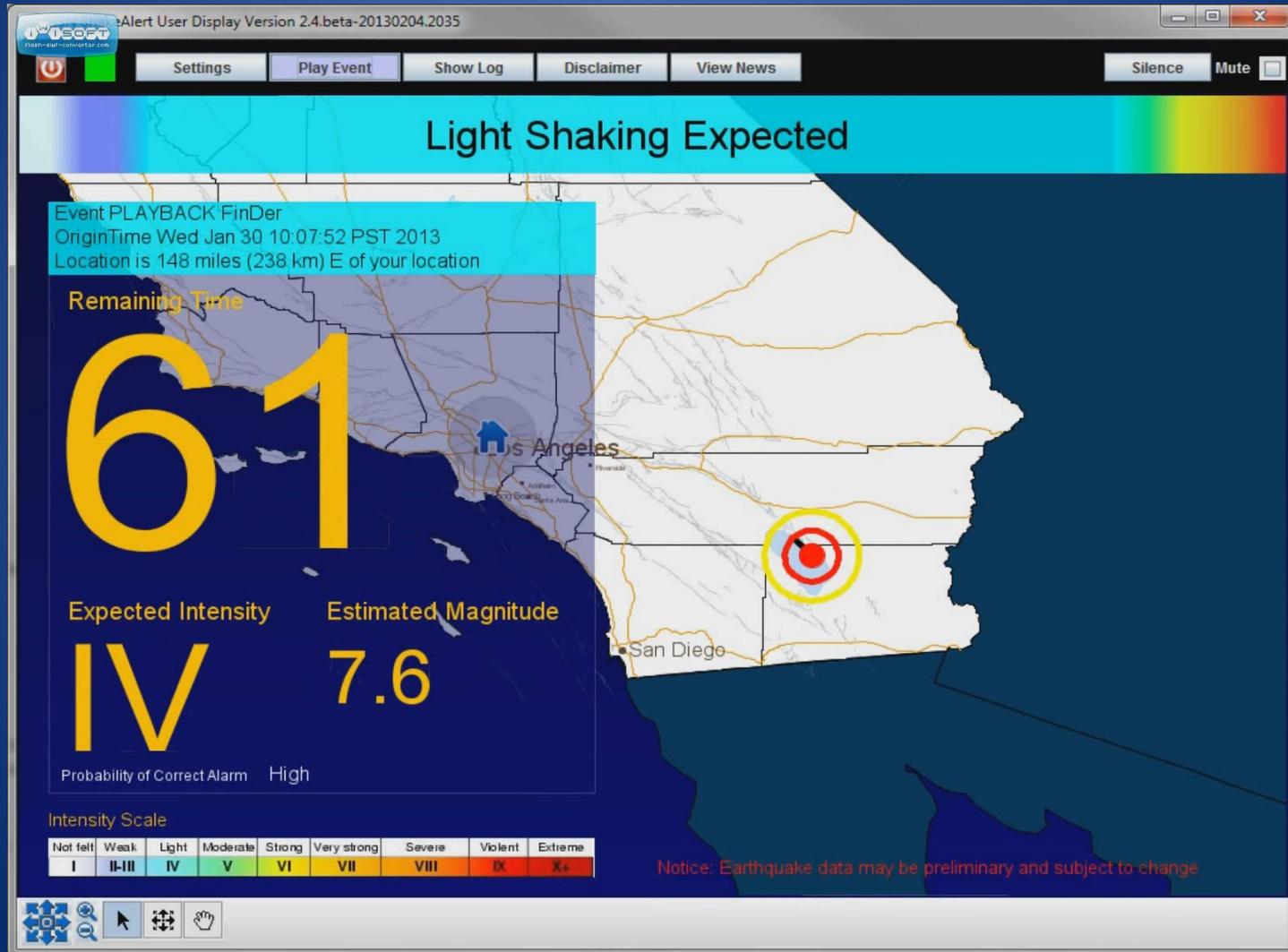
UserDisplay – ShakeOut M7.8

Real-time Finite Fault Solution



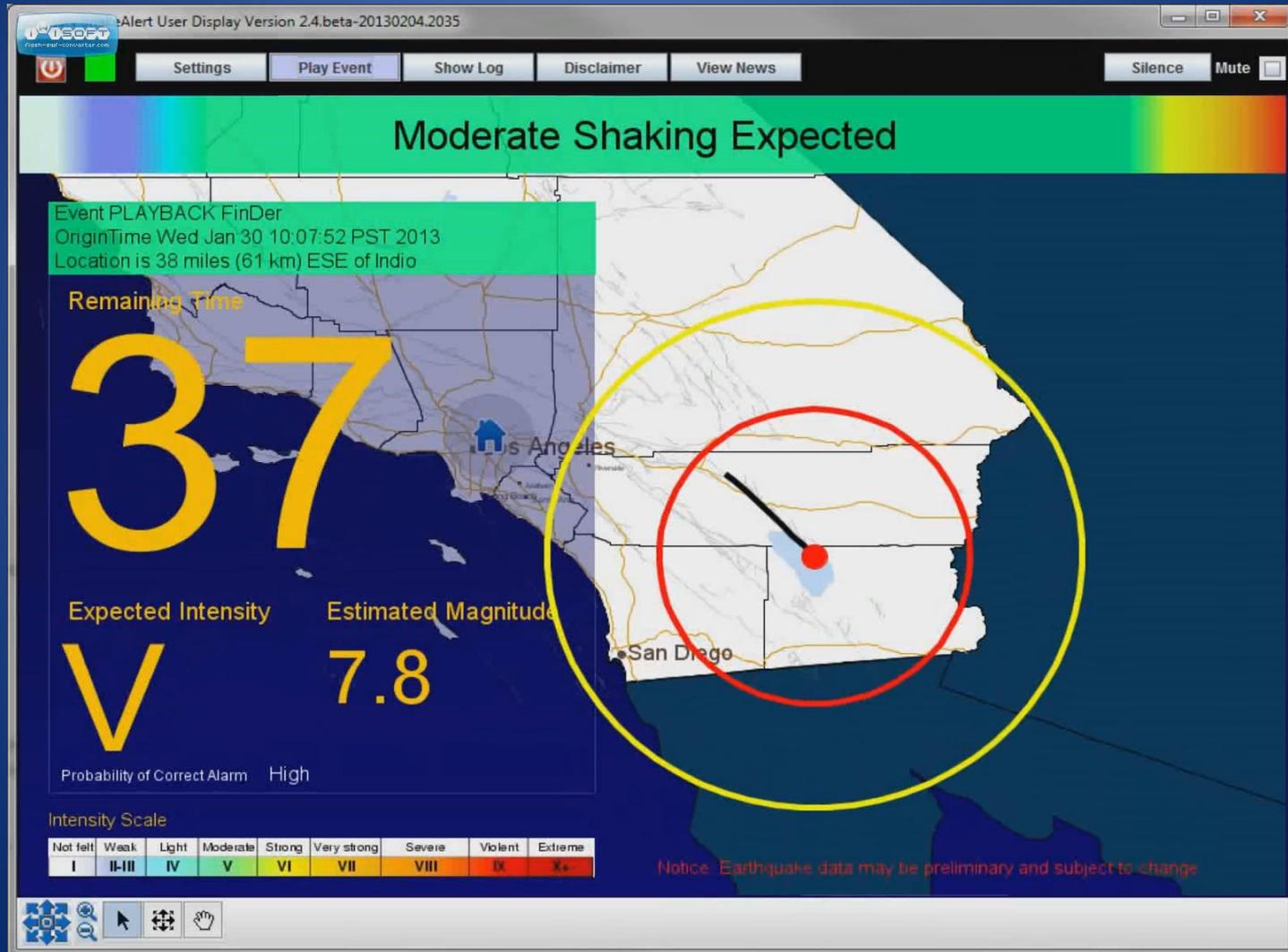
UserDisplay – ShakeOut M7.8

Real-time Finite Fault Solution



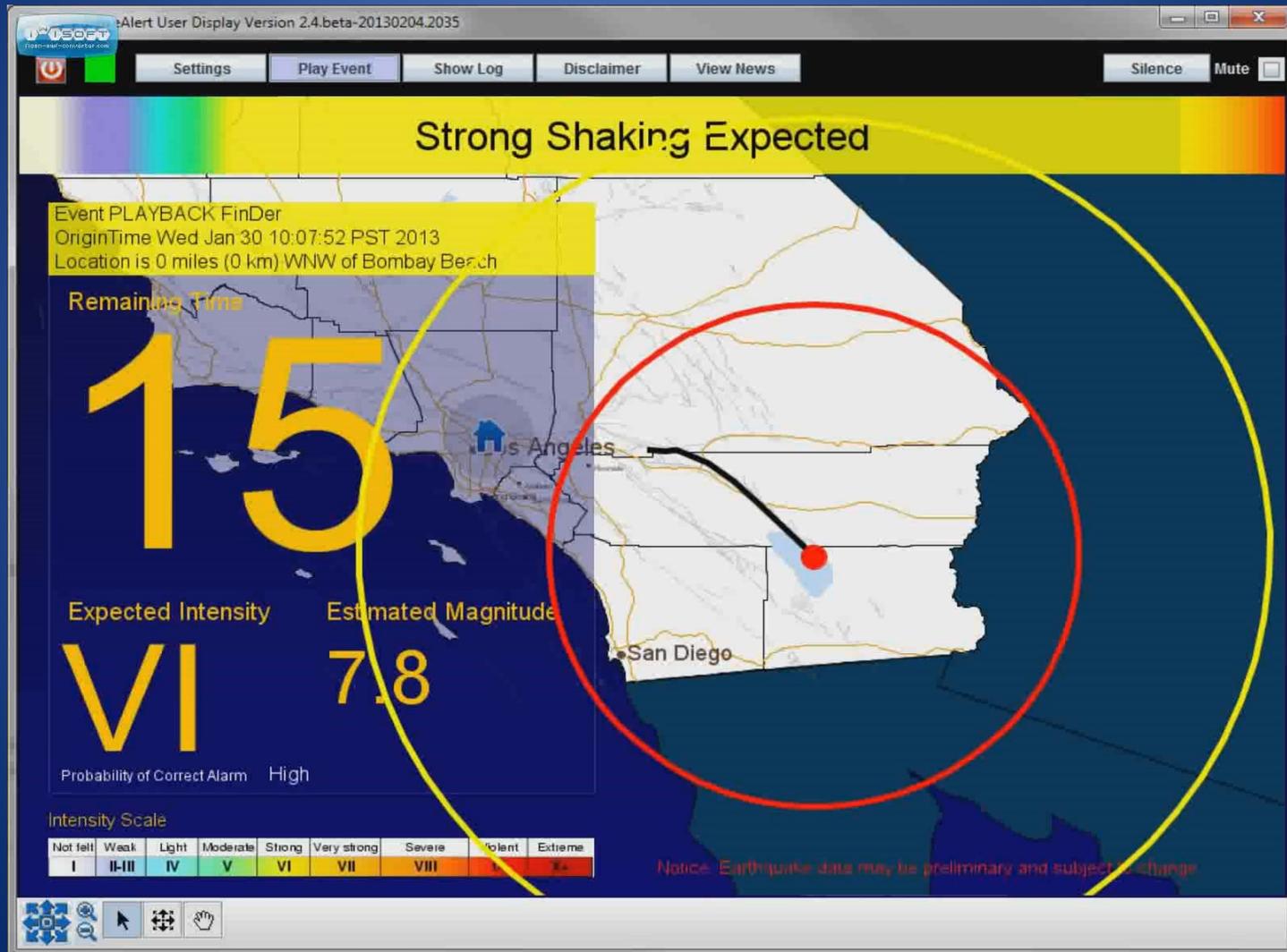
UserDisplay – ShakeOut M7.8

Real-time Finite Fault Solution



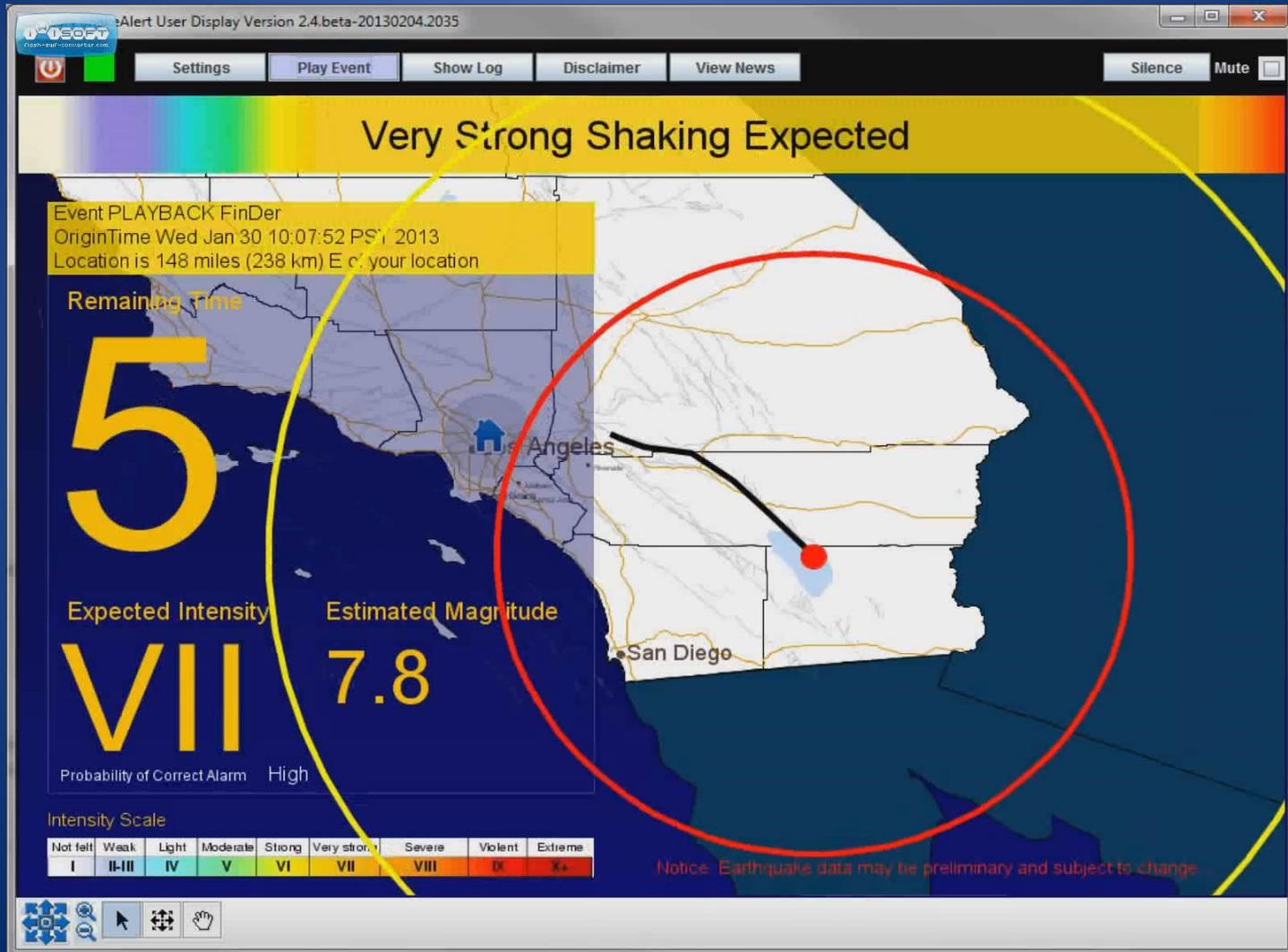
UserDisplay – ShakeOut M7.8

Real-time Finite Fault Solution

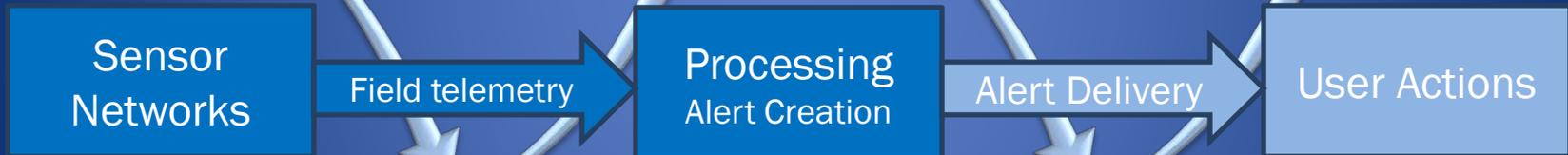


UserDisplay – ShakeOut M7.8

Real-time Finite Fault Solution



ShakeAlert: Major System Components



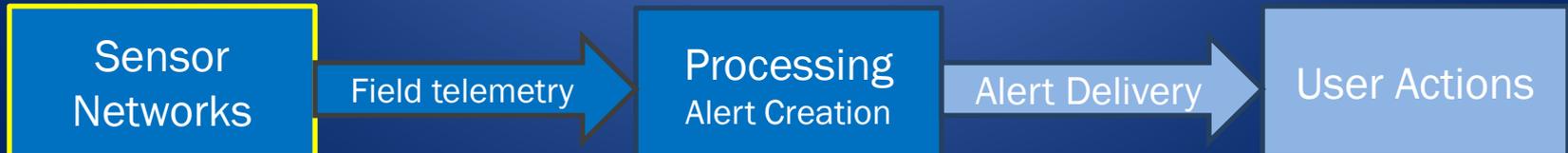
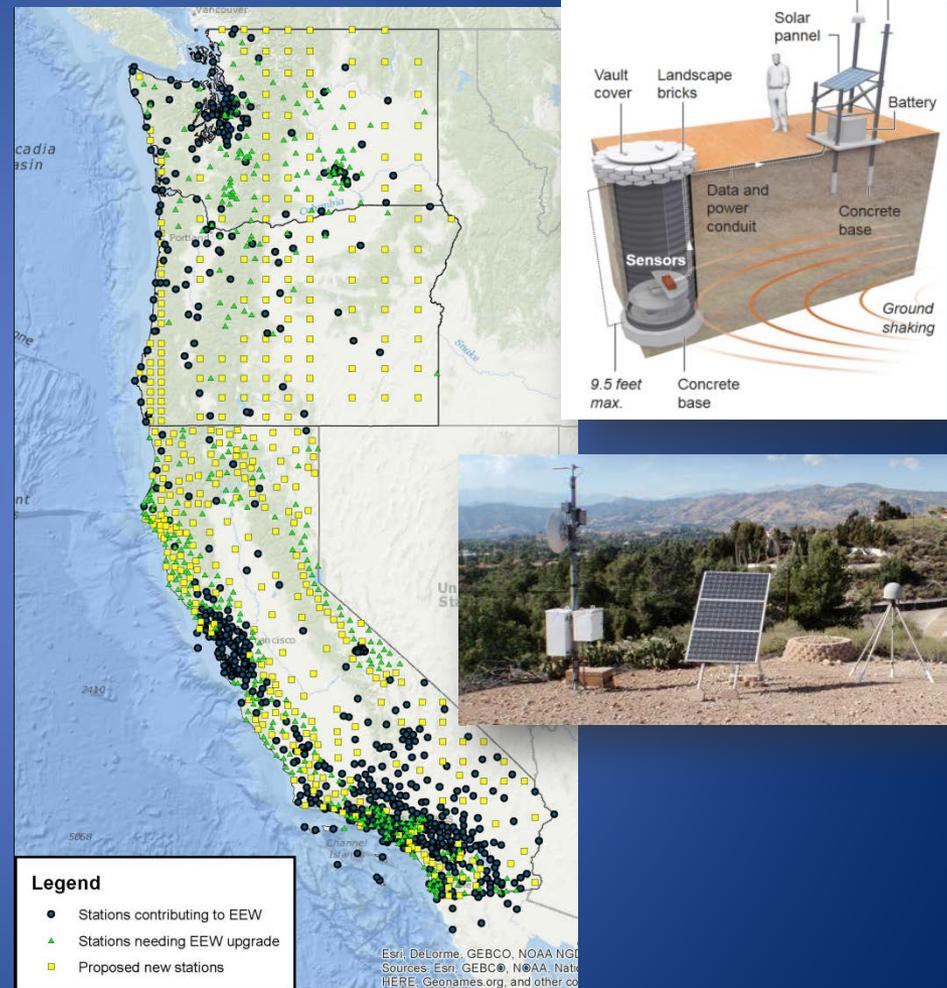
Ground Motion Sensors

Plan

- Add or upgrade ~1,000 stations (1,675 total)
- Target station spacing
 - 10km in urban areas
 - 20km in outlying areas

Progress:

- 624 stations contributing
- Plans for ~100 upgrades this year
- Planning for GNSS



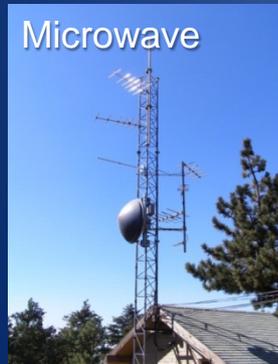
Network Telecommunications

Plan

- Diverse Telecomm Strategy
 - Cellular (multiple carriers)
 - IP Radio
 - Digital microwave
 - Satellite
 - DSL, cable
 - Public Internet
 - Partner systems

Progress

- Upgrading telemetry systems
- Exploring new technologies
- Seeking partners to share telecomm



Sensor
Networks

Field telemetry

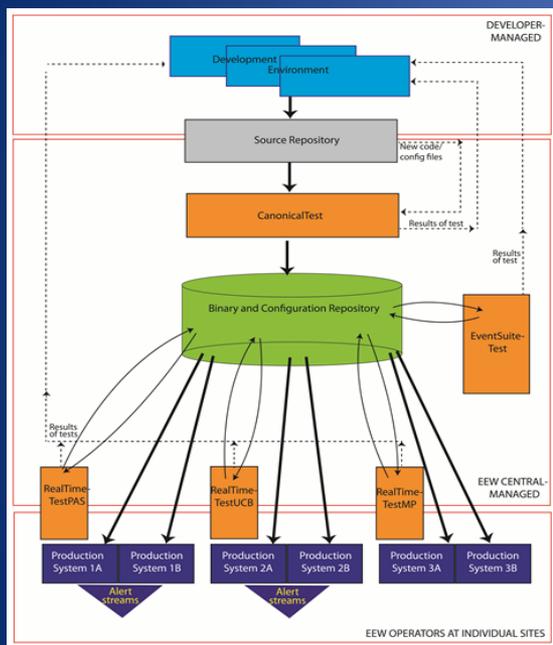
Processing
Alert Creation

Alert Delivery

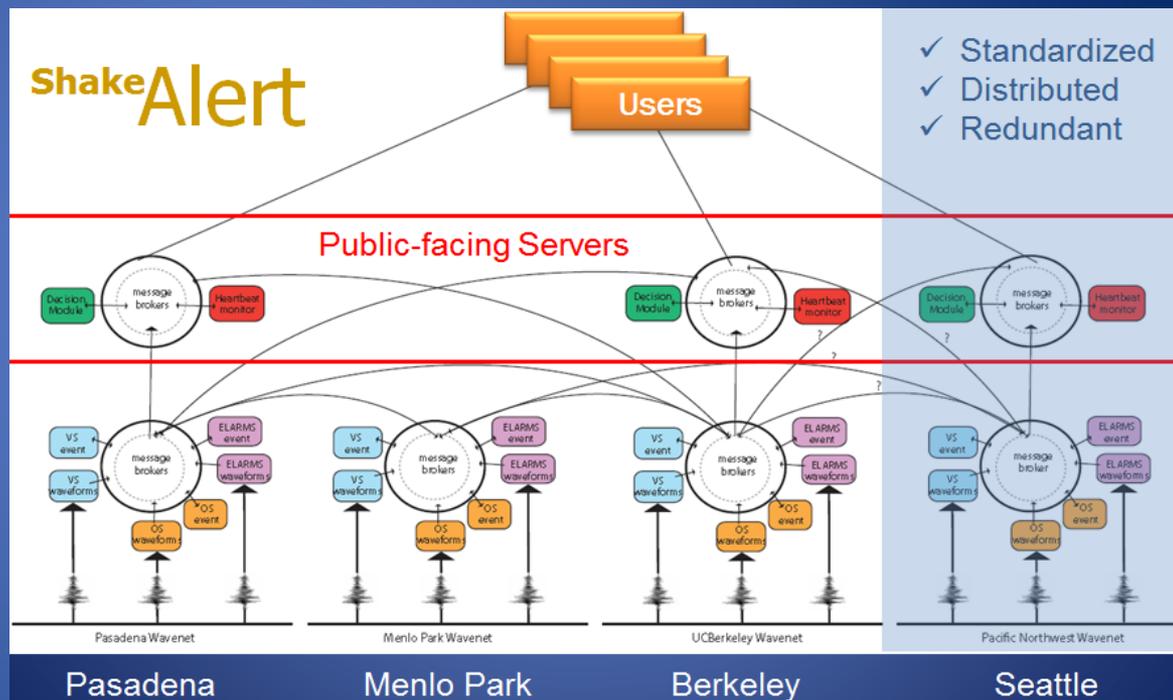
User Actions

ShakeAlert Production Prototype V1.0

Code Management & Testing Model



Fault Tolerant System Topology



Sensor Networks

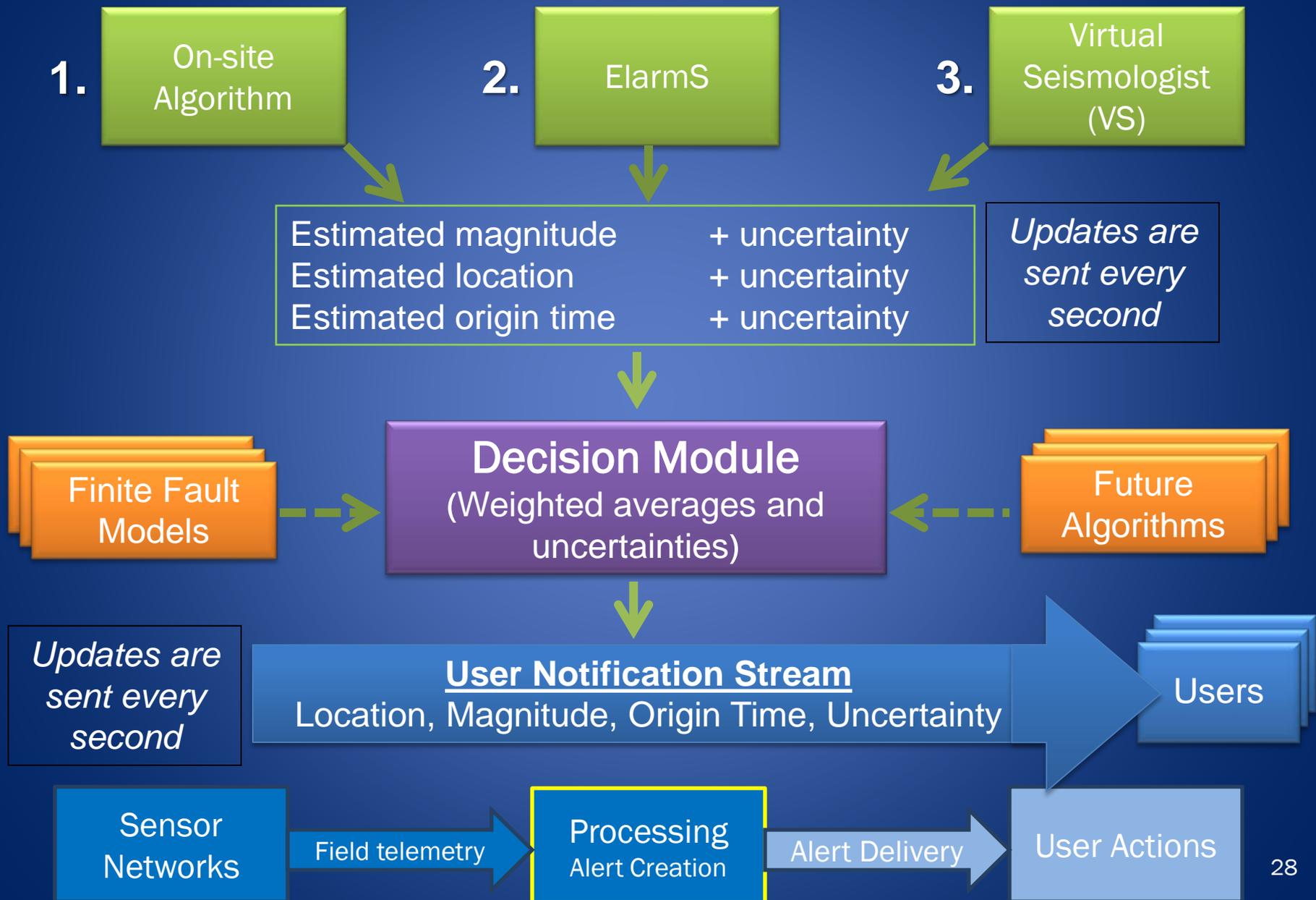
Field telemetry

Processing Alert Creation

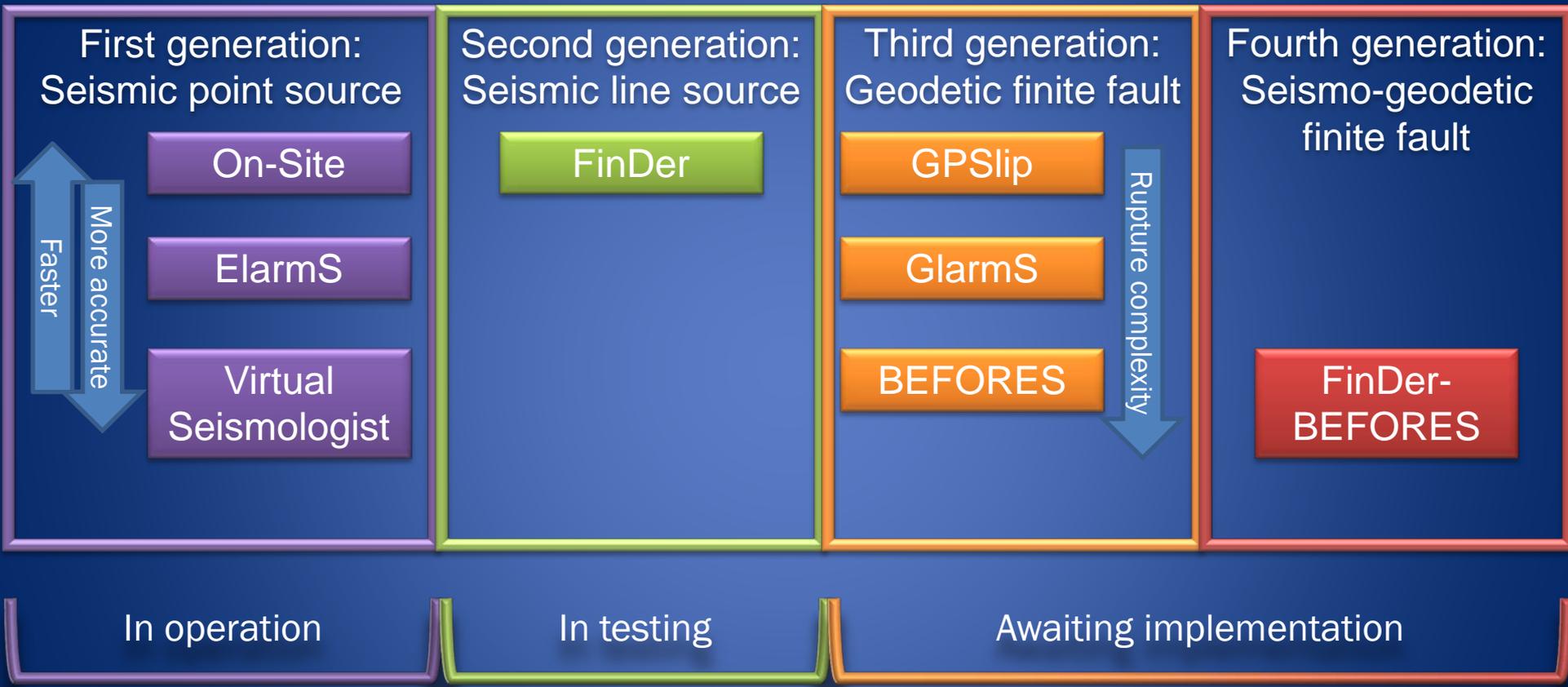
Alert Delivery

User Actions

ShakeAlert System Data Architecture



ShakeAlert Algorithms are Evolving

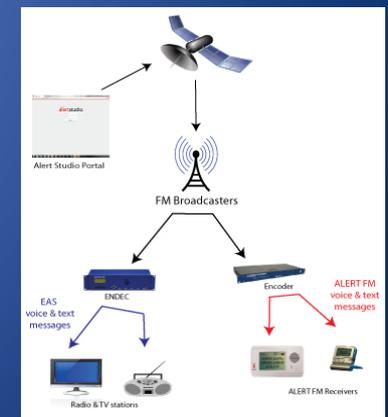
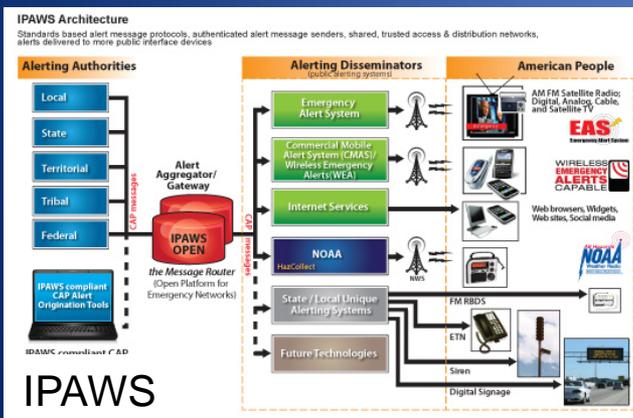


Alert Delivery: *by all available means*

- Send alerts by all available means (redundant, robust)
 - IPAWS/WEA (FEMA)
 - TV, radio, satellite, cell network
 - Internet, social media, pubsub
 - Private & public data networks
- Encourage private sector innovation

Examples

- IPAWS “alert authority”
- Google/Android app
- Partnerships
 - GSS, FM Alert (broadcast)
 - EWL (cloud server, app, actuator)
 - More to come...



Sensor Networks

Field telemetry

Processing Alert Creation

Alert Delivery

User Actions

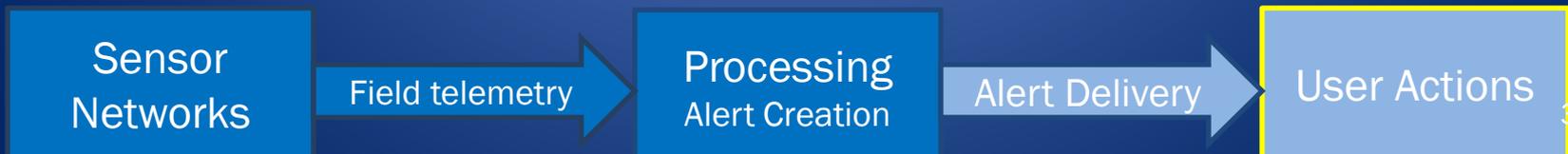
Two User Categories

People

- Need effective, consistent alerts
 - Alert content, sounds
 - Messaging, “branding”
 - Education & training

Things (automated)

- Private partner R&D
- Automated, context-specific decision-logic
- Actuators



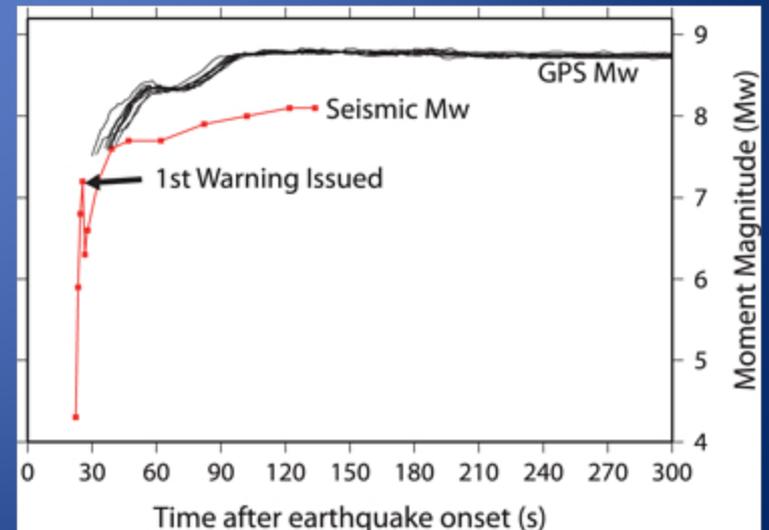
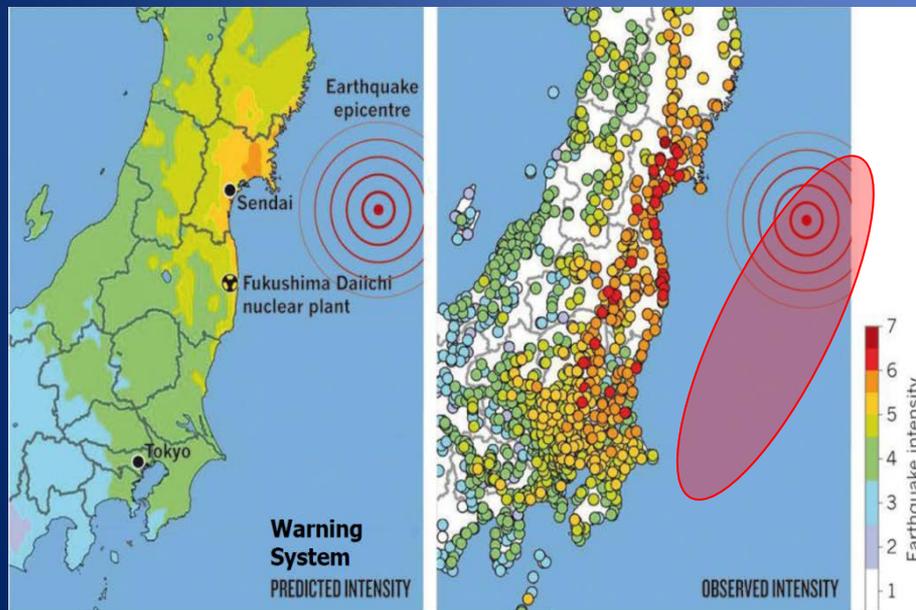
EEW Alert: Tohoku M9.0, March 11, 2011

The good:

- Alert was sent
 - 8.6 sec after 1st detection
 - 31 sec after OT
- Millions received 5 – 40 sec warning

The not-so-good:

- Magnitude saturated at M8.1
- Point source assumption
 - Intensity too low
 - area affected too small
- Missed and false alerts followed
- 55 stations lost power



COMING SOON

Future Plans

- GNSS
 - Integrate data streams
 - R&D on algorithms
- Low cost sensors
- Crowdsourcing
 - Smart phones
 - The “internet of things”
- Foster private R&D in emerging technologies
 - Sensors
 - Data communication
 - Mass alert delivery
 - Practical implementations
- Create T&E plan
- Seek full funding



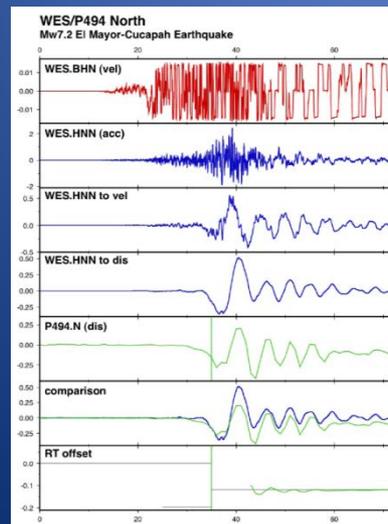
ShakeAlert & Tsunami Warning

- Public will receive ShakeAlert messages before they feel shaking
- What should the public message be if the event is potentially tsunamigenic?



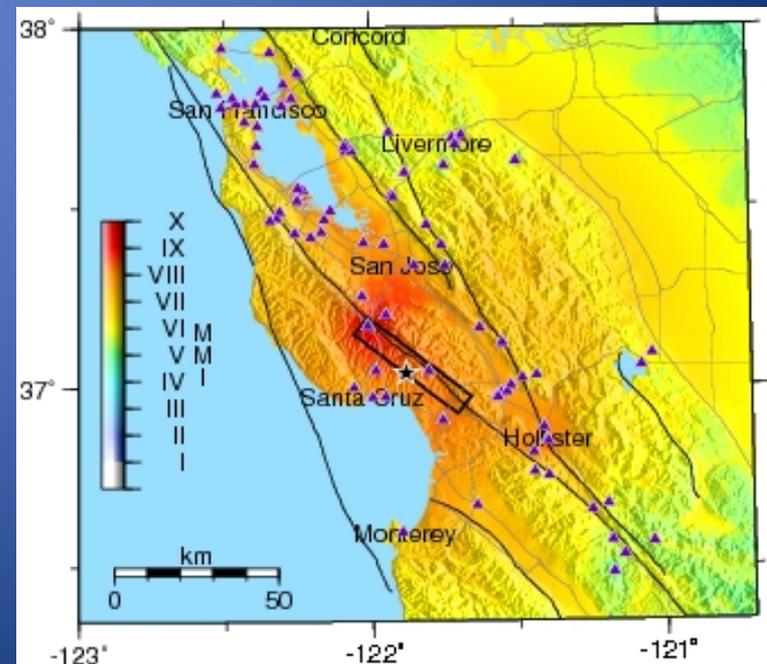
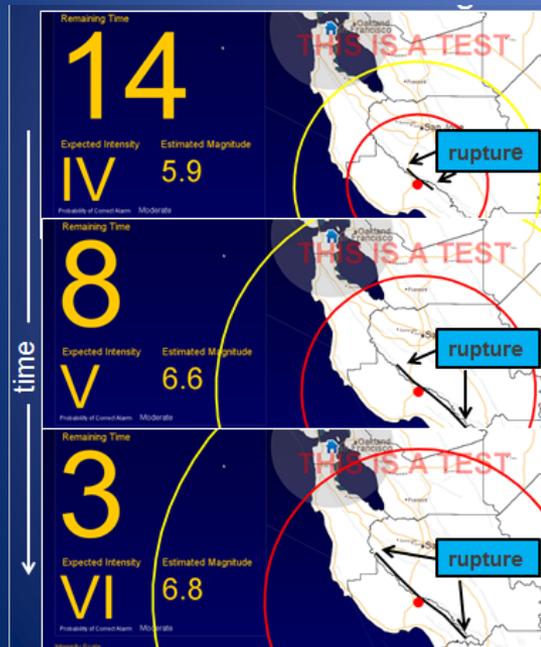
ShakeAlert & Tsunami Warning

- ShakeAlert will result in much more real-time data, both seismic and geodetic
- How might that benefit tsunami warning?



ShakeAlert & Tsunami Warning

- ShakeAlert will produce real-time source models.
- How might that benefit tsunami alerting?



ShakeAlert & Tsunami Warning

- ShakeAlert will need (drive?) changes to public alerting pathways.
- How might that benefit tsunami alerting?
 - IPAWS/WEA
 - Mass notification
 - Apps
 - Others...



ShakeAlert & Tsunami Warning

- ShakeAlert will promote public hazard education and training
- How can we best integrate this with other hazard education including tsunamis?
 - CalOES lead T&E committee
 - USGS hiring T&E coordinator



ShakeAlert

Summary

- ShakeAlert is progressing despite limited resources (V1.0)
- Pilot implementations will begin soon (in CA)
- Completing ShakeAlert will require further work, investment & coordination
- Partners will play a critical role in fully implementing ShakeAlert



<http://shakealert.org>

Annualized Earthquake Losses = \$5.3B/yr

77% on West Coast (\$4.1B)

ShakeAlert only needs to prevent 0.4% of direct losses to be cost effective

